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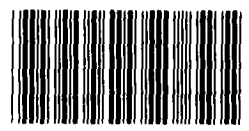
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SUPPLEMENT TO A REPORT BY THE  
**Comptroller General**  
OF THE UNITED STATES

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**Block Grants For Housing:  
A Study Of Local  
Experiences And Attitudes**

This supplement contains the results of GAO's survey questionnaires on communities' experiences in providing housing assistance under the Community Development Block Grant Program and on the communities' attitudes toward a Federal housing block grant program.



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**GAO/RCED-83-21A**  
**DECEMBER 13, 1982**

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COMPTROLLER GENERAL OF THE UNITED STATES  
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B-207915

To the President of the Senate and the  
Speaker of the House of Representatives

This supplement contains the results of our survey questionnaires on local communities' attitudes toward a Federal housing block grant program and on the communities' experiences in providing housing assistance under the Community Development Block Grant Program. The supplement is being issued concurrently with our report entitled "Block Grants for Housing: A Study of Local Experiences and Attitudes" (GAO/RCED-83-21). The report describes local housing officials' views on whether a Federal housing block grant is desired and what it should look like. It also discusses communities' experiences in providing housing assistance under the Community Development Block Grant Program. The report's digest is included as an appendix to this supplement.

We sent one of two questionnaires to 664 cities and counties entitled to receive Community Development Block Grant funds to obtain an empirical data base for our study. Overall, 81 percent of the communities responded to the questionnaires. Chapter 1 describes the questionnaire development and sampling methodology and discusses the cautions to be used in interpreting the responses. Chapter 2 contains aggregate responses, where practical, to questions on local communities' attitudes toward a Federal housing block grant program and on the communities' experiences in providing housing assistance under the Community Development Block Grant Program. Responses to questions on specific housing interventions, however, were too voluminous to report in detail. Therefore, these responses are summarized by types of housing activities in appendix I of this supplement.

We are sending copies of this supplement today to the chairmen of various congressional committees having an interest in housing; the Director, Office of Management and Budget; the Secretary, Department of Housing and Urban Development; and other interested parties.

A handwritten signature in cursive script that reads "Charles A. Bowser".

Comptroller General  
of the United States



## C o n t e n t s

CHAPTER		<u>Page</u>
1	TECHNICAL DESCRIPTION OF QUESTIONNAIRE DEVELOPMENT AND SAMPLING METHODOLOGY	1
	Responses to questionnaires	1
	Interpretation of data	2
	Objectives	3
	Sample selection	3
	Questionnaire design	3
2	QUESTIONNAIRES SENT TO CITIES AND COUNTIES	6
	U.S. General Accounting Office Survey of Experiences in Pro- viding Local Housing Activities Under the Community Development Block Grant Program (attitudinal survey)	6
	U.S. General Accounting Office Survey of Experiences in Pro- viding Local Housing Activities Under the Community Development Block Grant Program (experiences survey)	39
APPENDIX		
I	Characteristics of housing activities funded under the CDBG program	69
II	Digest from the report "Block Grants for Housing: A Study of Local Experiences and Attitudes" (GAO/RCED-83-21)	71

### ABBREVIATIONS

CDBG	Community Development Block Grant
GAO	General Accounting Office

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## CHAPTER 1

### TECHNICAL DESCRIPTION OF

#### QUESTIONNAIRE DEVELOPMENT AND SAMPLING METHODOLOGY

This chapter describes (1) the response rates and methodology that we used in selecting Community Development Block Grant (CDBG) entitlement cities and counties and (2) the process that we followed in developing the questionnaires used in our survey.

#### RESPONSES TO QUESTIONNAIRES

The table below shows how many local government officials responded to our questionnaires. This table indicates--for each of the five samples--the number of questionnaires mailed and the outcome of the mailing. For example, the attitudinal questionnaire was sent to officials at 133 locations. We received and analyzed 100 completed questionnaires. The remaining 33 were not analyzed for several reasons--2 officials told us that they had never participated in the CDBG program, 2 questionnaires were not deliverable, and 29 questionnaires were either returned after our analysis was completed or were not returned. Thus, local government officials at 129 (133 minus the 2 nonparticipants and the 2 nondeliverables) CDBG locations had the opportunity to complete the attitudinal questionnaire. Since 100 questionnaires were analyzed, the response rate for this questionnaire was 78 percent (100 divided by 129).

#### Response Rates for the Questionnaires

	<u>Local attitude</u>	<u>Housing experiences</u>				<u>Total</u>
		<u>Sample A</u>	<u>Sample B</u>	<u>Sample C</u>	<u>Sample D</u>	
Number mailed	133	133	133	133	132	664
Nondeliverables	2	2	0	1	0	5
Nonparticipants	2	3	2	2	3	12
Returned too late or not returned	29	23	23	24	24	123
Number returned and analyzed	100	105	108	106	105	524
Response rate (percent)	78	82	82	82	81	81

The table also shows that the four subgroups of questionnaires on local housing experiences--A, B, C, and D--had response rates of 82, 82, 82, and 81 percent, respectively. The combined response rate for each of these similar questionnaires was 82 percent, representing a combined response rate of 81 percent for entitlement cities and 90 percent for entitlement counties. When the attitudes

questionnaire and the four questionnaire versions on local housing experiences are considered together, an overall response rate of 81 percent was achieved in this survey. In our view, this is a relatively high response rate for such sophisticated questionnaires.

#### INTERPRETATION OF DATA

Several questions appear in the attitudinal questionnaire and in the questionnaire on local housing experiences which present a specific list of items to which respondents replied by either rating or ranking each item. In addition to the list of specific items, we provided an "other" category as a catch-all, so that respondents could write in a response which did not appear on the list. Most officials did not use this category. For the responses that were supplied, no response occurred often enough to allow us to derive a statistically significant response. Therefore, the reader should be cautioned that summary statistics reported in these categories should be used with caution.

The reader should also be cautioned that the totals for questions 16, 17, 19, 20, and 22 in the attitudinal questionnaire and questions 5, 6, 8, 9, and 12 in the experiences questionnaire do not always reflect the sum of each question. Some respondents provided only one line of data which did not allow us to derive totals, while other respondents provided only totals. Initially, this response pattern caused concern over whether the officials that did respond to these questions were representative of the communities used in the overall survey. For example, if a significant number of the respondents who offered only one line of data and no totals were large cities, we would suspect that the totals for these questions would be low. Therefore, to assure that the officials responding were representative of the respondents to the overall questionnaire and to assure that the missing responses to any element did not distort the relationship of elements within a question we tested the responses from local officials to determine what kinds of localities responded to each question--the large or the small. We found that localities responding to the questions were representative of the population sizes of the overall sample of communities. We also found that more respondents reported vacant units than occupied units for questions requiring such information. Therefore, the reader should be aware that the number of respondents to each part of such questions varies.

Frequency distributions are used throughout the questionnaires to present local officials' responses. A frequency distribution is an arrangement of statistical data that shows the number of times values of a variable occur. In the attitudinal questionnaire, the frequency can also be interpreted as an approximate percentage of the entire sample group since there are exactly 100 respondents to the questionnaire. This application does not hold true for the questionnaire on local experiences because 424 local officials responded. Therefore, the frequency distribution should not be interpreted as a percentage distribution in the experiences survey.



## OBJECTIVES

Our objectives in this review were to provide the Congress with an empirical data base for its deliberations on alternative housing strategies and to take a comprehensive look at all CDBG housing activities--what was done, who has benefited, and at what cost. We also wanted to obtain the views of city and county housing officials toward block grants as a mechanism for providing housing assistance to low- and moderate-income families.

Information to address these two objectives was collected through the mail by two standardized questionnaires and detailed reviews at four major entitlement cities. A detailed description of how these questionnaires were developed is presented later in this section.

## SAMPLE SELECTION

Initially, we compiled a list of 664 CDBG entitlement communities (579 cities and 85 counties) from the Department of Housing and Urban Development's management information records. This list represents all of the entitlement locations, except for the four cities where we reviewed CDBG housing activities. One of the two standardized questionnaires was sent to each of these entitlement locations.

To determine which questionnaire version would be sent to each location, the 664 locations were randomly assigned to one of five sampling groups. Assignment was made using a stratified random sampling technique, where stratification was based on estimated 1978 population size. Five population strata were used. To verify that the five sample groups were similar, the incidence of nonwhite population was compared with the total population for locations with populations over 100,000, using the 1970 Statistical Abstract of the United States. Results of this analysis indicated that the sampling technique yielded five comparable lists of CDBG entitlement locations.

Two mailings were used in this survey. In the first mailing, a cover letter, questionnaire, return envelope, and a post card were sent. The post card, which participants were asked to send back immediately after receiving the questionnaire, helped us to identify those communities which may not have received our initial mailing. Six weeks after the first mailing, all communities which had not returned a questionnaire were sent a second questionnaire packet. Most cities which did not return a completed questionnaire within 10 weeks after the first mailing were contacted by telephone to encourage participation.

## QUESTIONNAIRE DESIGN

Our goal throughout the survey was to design a questionnaire which could be completed without unreasonable burdens on the respondents. We determined that requesting 3 staff days during a

month was reasonable. This time frame was based on recordkeeping practices at eight pretest cities and counties and the opinions of local government officials. The cities which participated in the pretest were: Hartford, Connecticut; Baltimore, Maryland; Harrisburg and Pittsburgh, Pennsylvania; and Alexandria and Richmond, Virginia. Participating counties were: Montgomery County, Maryland, and Allegheny County, Pennsylvania.

Our original draft questionnaire requested information about the two topics covered in this survey (housing activities and attitudes toward block grants for housing). Since we assumed it would take more than 3 staff days to complete a questionnaire which would adequately cover all of the topics, we divided the topics into two groups. One version of the questionnaire would cover attitudinal issues, while the remaining version would cover housing assistance activities, including methods of financing and beneficiary descriptions.

Modifications associated with respondent burden fell into two broad categories--accessibility of information and extent of housing assistance programs. Regarding the accessibility of information, modifications were made so that examination of individual case files for housing aid was kept to a minimum. Furthermore, existing approaches for tabulating information were adopted. Consequently, the respondents did not have to categorize their data in unfamiliar formats.

The problems associated with the extent of housing assistance programs were more difficult. Some entitlement communities provided housing assistance through a vast array of housing activities. Therefore, even if the questionnaire only asked for elementary information (which it did not), the respondent would have to answer many burdensome questions. Since the survey also focused on financing methods, it became critical to understand the nature of how housing activities and financing methods interacted.

Consequently, we sent a mailgram questionnaire to 200 entitlement communities to identify the frequency that (1) housing activities were performed and (2) finance methods were used with each housing activity. We termed the housing activity and finance method combinations "housing interventions." In the final survey we identified 20 housing activities and 12 finance methods, for a total of 240 possible housing interventions. Based on the frequency that each housing intervention was used, as reported by 105 mailgram questionnaire respondents, we assigned the 240 housing interventions into four groups of 60 housing interventions. Each of the four questionnaire versions on housing experiences requested detailed information on 60 different housing interventions which equated respondent burden across the four sample groups.

#### The local attitudes questionnaire

Since the specific design of a housing block grant was not established, local housing officials were asked to give their

opinions on various major design issues. For example, this section of the questionnaire involved collecting information about distribution formulas; the role of other government and private organizations; targeting; eligible housing activities; consolidating other Federal housing programs; and the importance of various planning, implementing, and evaluating activities. The purpose of these questions was to gather opinions on housing block grant design from those local CDBG officials who have directly managed local housing programs.

In addition to these local attitudinal questions, a general description of CDBG housing activities and demographic information was collected. From this data we were able to analyze attitudes in terms of demographic profiles of cities and counties.

#### Experiences under the CDBG program questionnaires

In addition to questions concerning demographics and a general description of CDBG housing activities, the four questionnaire versions on housing experiences requested detailed information on ways in which entitlement communities have provided housing assistance since the CDBG program began. Each version requested data on 60 of 240 possible housing interventions which they financed with CDBG program funds. If a housing activity was financed by two or more methods, communities also provided detailed information on these housing assistance activities.

Detailed information requested for each housing intervention included its recency of use; amount of funds spent; sources of funds; number and type of beneficiaries; maximum, minimum, and average assistance payments; eligibility requirements; and involvement in other Federal housing programs.

## CHAPTER 2

### QUESTIONNAIRES SENT TO CITIES AND COUNTIES

#### U.S. GENERAL ACCOUNTING OFFICE

#### SURVEY OF EXPERIENCES IN PROVIDING LOCAL HOUSING ACTIVITIES UNDER THE COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

#### INSTRUCTIONS

The purpose of this questionnaire is to obtain information concerning your housing activities under the Community Development Block Grant program. This survey is part of a review of the concept of housing assistance block grants. The essence of a block grant concept is that local officials set priorities, choose strategies, and determine specific projects and undertakings with minimal Federal participation. This survey is being conducted by the U.S. General Accounting Office, which is responsible for helping the Congress oversee all Federal expenditures.

Congress is expected to consider legislation concerning housing assistance programs in early 1982. Currently, the only Federal block grant program designed to provide housing assistance is the Community Development Block Grant (CDBG) program administered by the Department of Housing and Urban Development (HUD). Consequently, it is important to examine the experiences of localities that provide housing assistance under the CDBG program.

This questionnaire should be answered by the local official responsible for administering housing activities under the CDBG program. It may be necessary to consult with staff, other government agencies, or other sources in order to complete the questionnaire.

Please indicate in the space below the name, title, and telephone number of the person who will complete the questionnaire. This will enable our staff to call the person who is most familiar with the questionnaire if it is necessary to clarify any answers.

Your city or county was randomly selected from all cities/counties entitled to receive grant assistance under the CDBG program. Responses will be combined with those of other cities and counties. Results will not be used by any Federal agency or office to make CDBG program determinations or funding decisions affecting your city or county.

As soon as you have read the questionnaire, please complete and mail back the enclosed self-addressed stamped postcard. This will enable our staff to identify those cities/counties that were sent questionnaires but did not receive them and to follow-up and provide assistance, if needed, to those cities/counties that did receive them.

Please mail back the completed questionnaire in the enclosed self-addressed stamped envelope no later than three weeks after receiving the questionnaire. If you have any questions, please call either Gary Johnson in our Pittsburgh office at (412) 644-5903 or Tom Collis in our Washington headquarters at (202) 426-1506. Please help us; otherwise we cannot provide the Congress with information on your CDBG program experiences. A copy of our final report will be made available to you.

Thank you for your cooperation.

#### PLEASE PRINT:

Name of City/County: \_\_\_\_\_ State: \_\_\_\_\_

#### Person Completing Questionnaire:

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Telephone number and area code: ( \_\_\_\_\_ ) \_\_\_\_\_

GAO NOTE: One hundred local housing officials from entitlement communities responded to this survey. Therefore, responses noted as frequency distributions also represent percentage distributions.

CITY/COUNTY EXPERIENCES UNDER THE COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

**I. HOUSING RESPONSIBILITIES**

Questions 1 to 8 concern identifying the organizations which are responsible for and/or which influence planning, implementing, and evaluating housing activities. Also, we are interested in identifying the barriers existing in your city/county and the sources of technical assistance used by your city/county. Consider the following definitions of planning, implementing, and evaluating functions:

--The planning function includes assessing housing conditions and needs, and establishing housing assistance objectives and goals for housing activities in your city/county.

--The implementing function includes administering and managing a variety of housing activities necessary to carry out the housing assistance objectives and goals of your city/county.

--The evaluating function includes assessing: (1) the housing activities' impact on accomplishing the housing assistance objectives and goals, (2) the conformance to applicable laws and regulations, and (3) the continuing capacity of your city/county to plan and implement housing activities.

1. Consider each of the following local organizations that may exist within your city's/county's organizational structure.
  - A. What single organization currently has dominant responsibility for planning CDBG housing activities in your city/county? (CHECK THE BOX FOR ONLY ONE ORGANIZATION UNDER DOMINANT RESPONSIBILITY FOR PLANNING IN COLUMN A.)
  - B. What single organization currently has dominant responsibility for implementing CDBG housing activities in your city/county? (CHECK THE BOX FOR ONLY ONE ORGANIZATION UNDER DOMINANT RESPONSIBILITY FOR IMPLEMENTING IN COLUMN B.)
  - C. What single organization currently has dominant responsibility for evaluating CDBG housing activities in your city/county? (CHECK THE BOX FOR ONLY ONE ORGANIZATION UNDER DOMINANT RESPONSIBILITY FOR EVALUATING IN COLUMN C.)

ORGANIZATIONS	COLUMN A	COLUMN B	COLUMN C
	DOMINANT RESPONSIBILITY FOR PLANNING	DOMINANT RESPONSIBILITY FOR IMPLEMENTING	DOMINANT RESPONSIBILITY FOR EVALUATING
1. Office of the Mayor/county executive	2	1	4
2. City/County manager's office	2	1	3
3. City council/county commissioners	4	0	6
4. Local Department of Housing	2	8	2
5. Local Department of Community Development	57	57	53
6. City/County planning agency	20	9	14
7. City/County code enforcement agency	0	0	0
8. City/County controller's office	0	0	1
9. City/County audit office	0	0	0
10. Local Housing Authority/Agency	5	12	4
11. Other (SPECIFY) _____	8	12	13
12. No basis to judge	0	0	0

GAO NOTE: Frequency distributions are shown in Columns "A", "B" and "C".

2. Consider the extent that each of the following Federal, State, regional, local, and/or private organizations currently influence decisions relating to planning, implementing, and evaluating CDBG housing activities in your city/county.

- A. To what extent, if at all, did officials of each of the organizations influence decisions relating to planning CDBG housing activities? (FOR EACH ORGANIZATION, CHECK ONE BOX UNDER LEVEL OF INFLUENCE FOR PLANNING IN COLUMN A.)
- B. To what extent, if at all, did officials of each of the organizations influence decisions relating to implementing CDBG housing activities? (FOR EACH ORGANIZATION, CHECK ONE BOX UNDER LEVEL OF INFLUENCE FOR IMPLEMENTING IN COLUMN B.)
- C. To what extent, if at all, did officials of each of the organizations influence decisions relating to evaluating CDBG housing activities? (FOR EACH ORGANIZATION, CHECK ONE BOX UNDER LEVEL OF INFLUENCE FOR EVALUATING IN COLUMN C.)

ORGANIZATIONS	COLUMN A LEVEL OF INFLUENCE FOR PLANNING						COLUMN B LEVEL OF INFLUENCE FOR IMPLEMENTING						COLUMN C LEVEL OF INFLUENCE FOR EVALUATING					
	Little or no Some		Moderate Great		Very great No basis to judge		Little or no Some		Moderate Great		Very great No basis to judge		Little or no Some		Moderate Great		Very great No basis to judge	
	1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6
<b>FEDERAL:</b>																		
1. HUD	10	21	25	23	20	0	16	24	22	18	17	1	3	11	25	24	34	1
2. Other (SPECIFY)	8	1	0	1	0	7	10	0	0	0	0	6	8	0	1	0	0	5
<b>STATE:</b>																		
3. Governor	79	3	1	1	0	8	77	2	0	2	0	10	79	2	0	1	0	10
4. State housing agency	58	20	7	5	0	7	50	22	6	6	2	8	68	16	3	0	0	8
5. Other (SPECIFY)	12	1	2	0	1	8	11	2	2	0	2	8	11	1	2	0	0	10
<b>REGIONAL:</b>																		
6. Regional councils	63	9	12	5	2	4	74	7	4	3	0	6	66	13	5	3	1	7
7. Other (SPECIFY)	11	0	0	0	1	5	11	0	0	1	0	6	12	1	0	0	0	7
<b>LOCAL:</b>																		
8. Office of the Mayor/county executives	19	14	22	17	12	5	24	17	14	15	12	6	25	19	21	8	9	7
9. City/County manager's office	19	8	19	14	8	10	23	9	11	16	9	10	23	8	12	15	9	11
10. City council/county commissioners	13	19	28	16	16	1	28	19	22	12	10	2	28	22	14	16	10	2
11. Local Department of Housing	13	3	9	7	8	26	14	3	3	11	12	24	19	4	2	8	11	22
12. Local Department of Community Development	3	2	8	23	49	6	2	3	5	17	57	6	5	1	4	23	49	6
13. City/County planning agency	16	17	16	19	23	2	36	19	11	7	16	4	35	19	11	9	15	3
14. City/County code enforcement agency	43	18	17	5	1	6	31	20	17	8	6	7	53	17	8	3	1	6
15. City/County controller's office	67	8	3	1	0	13	63	10	5	1	0	12	62	6	4	3	2	12
16. City/County audit office	66	8	3	0	0	13	66	6	2	2	0	12	56	5	9	5	2	11
17. Local Housing Authority/Agency	21	17	27	12	10	7	24	14	17	12	19	8	42	17	7	10	8	9
18. Other (SPECIFY)	4	1	2	5	3	7	5	0	1	3	6	9	6	2	3	4	3	8
<b>PRIVATE:</b>																		
19. Non-profit housing corporation and community development agency	41	14	9	7	2	16	36	12	11	7	7	15	51	9	6	4	2	15
20. Private housing consultant firms	56	10	10	3	1	13	61	7	3	2	2	16	66	4	3	1	2	15
21. Local banking and financial institutions	47	26	13	3	1	5	25	29	22	9	5	4	63	13	5	2	0	6
22. Real estate appraiser firms	74	8	2	0	0	10	67	14	1	0	0	10	79	2	1	0	0	10
23. Private housing developers	44	26	11	5	1	8	32	16	21	8	5	10	72	5	5	1	1	9
24. Professional housing associations	68	8	3	1	0	11	68	6	4	0	0	12	73	4	3	0	0	10
25. Academic community	73	8	2	0	0	10	75	3	2	0	0	12	77	2	2	0	1	10
26. Local citizens	7	20	34	23	13	2	22	27	28	9	7	4	25	25	28	6	3	
27. Local special interest groups	28	23	27	9	3	4	40	20	18	5	3	5	44	17	18	6	2	4
28. Other (SPECIFY)	2	1	0	0	2	8	5	1	0	2	0	8	6	2	1	1	0	8

GAO NOTE: Frequency distributions are shown in Columns "A", "B" and "C".

3. Consider the extent that each of the following factors were initially (first CDBG program year) a barrier to your city's/county's capacity to plan, implement, and evaluate CDBG housing activities.
- A. To what extent, if at all, were each of the factors initially a barrier to your city's/county's capacity to plan CDBG housing activities? (FOR EACH FACTOR, CHECK ONE BOX UNDER EXTENT FACTOR INITIALLY A BARRIER TO PLANNING IN COLUMN A.)
- B. To what extent, if at all, were each of the factors initially a barrier to your city's/county's capacity to implement CDBG housing activities? (FOR EACH FACTOR, CHECK ONE BOX UNDER EXTENT FACTOR INITIALLY A BARRIER TO IMPLEMENTING IN COLUMN B.)
- C. To what extent, if at all, were each of the factors initially a barrier to your city's/county's capacity to evaluate CDBG housing activities? (FOR EACH FACTOR, CHECK ONE BOX UNDER EXTENT FACTOR INITIALLY A BARRIER TO EVALUATING IN COLUMN C.)

FACTORS	COLUMN A EXTENT FACTOR INITIALLY A BARRIER TO PLANNING						COLUMN B EXTENT FACTOR INITIALLY A BARRIER TO IMPLEMENTING						COLUMN C EXTENT FACTOR INITIALLY A BARRIER TO EVALUATING					
	Little or no Some		Moderate		Great Very great No basis to judge		Little or no Some		Moderate		Great Very great No basis to judge		Little or no Some		Moderate		Great Very great No basis to judge	
	1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6
1. Political opposition	36	21	21	11	3	4	37	15	25	10	4	4	66	8	8	3	2	10
2. Lack of experience with previous categorical programs	42	18	19	8	5	1	42	17	15	11	5	1	49	15	8	10	4	5
3. Federal regulations	22	19	32	12	9	2	20	21	31	13	9	2	39	18	21	6	4	7
4. Federal housing standards	50	18	13	4	3	5	40	26	14	5	3	4	60	12	8	3	0	8
5. Legal constraints to comply with Federal procedures	39	19	17	9	6	3	39	23	15	7	5	3	57	19	2	3	3	6
6. Federal record-keeping/reporting requirements	33	27	22	5	6	3	29	24	25	7	6	4	29	22	25	9	4	6
7. Lack of long-term Federal financial commitment	32	15	11	18	15	5	38	13	11	18	12	3	62	10	4	7	4	7
8. State regulations	69	9	6	3	1	7	67	9	7	5	0	7	79	4	1	1	1	8
9. State housing standards	77	5	1	1	0	9	76	5	1	1	0	9	79	4	0	0	0	10
10. Lack of well-defined roles within particular city/county organizations	44	17	14	11	6	5	46	15	12	11	7	4	54	12	14	3	6	7
11. Fragmented responsibility among several city/county organizations	47	19	16	7	6	2	46	17	14	10	6	2	52	20	13	4	2	5
12. Absence of authority to earmark CDBG funds to housing activities	64	10	6	9	2	3	68	9	6	8	1	2	71	11	5	2	0	5
13. Shortage of funds from private lending institutions	52	15	13	6	4	6	43	17	14	13	2	6	72	5	3	0	1	12
14. Local contractors unfamiliar with labor standard requirements	54	17	11	6	2	5	40	23	14	11	4	2	65	12	2	6	2	7
15. Absence of authority to impose financial consequences for poor performance	63	15	5	2	2	7	56	16	5	5	4	6	62	8	4	4	3	10
16. Lack of staff	39	25	19	10	0	1	36	22	17	15	3	1	44	20	7	15	3	5
17. Lack of trained staff (e.g., education)	44	18	18	15	1	1	32	19	22	18	2	1	43	19	14	12	3	5
18. Lack of experienced staff (e.g., on-the-job experience)	35	19	22	15	4	1	25	19	20	22	6	1	35	19	15	17	4	5
19. Other (SPECIFY)	1	0	0	0	4	7	1	0	0	0	3	7	2	0	0	0	2	7

GAO NOTE: Frequency distributions are shown in Columns "A", "B" and "C".

4. Consider the extent that each of the following factors are currently a barrier to your city's/county's capacity to plan, implement, and evaluate CDBG housing activities.
- A. To what extent, if at all, are each of the factors currently a barrier to your city's/county's capacity to plan CDBG housing activities? (FOR EACH FACTOR, CHECK ONE BOX UNDER EXTENT FACTOR CURRENTLY A BARRIER TO PLANNING IN COLUMN A.)
- B. To what extent, if at all, are each of the factors currently a barrier to your city's/county's capacity to implement CDBG housing activities? (FOR EACH FACTOR, CHECK ONE BOX UNDER EXTENT FACTOR CURRENTLY A BARRIER TO IMPLEMENTING IN COLUMN B.)
- C. To what extent, if at all, are each of the factors currently a barrier to your city's/county's capacity to evaluate CDBG housing activities? (FOR EACH FACTOR, CHECK ONE BOX UNDER EXTENT FACTOR CURRENTLY A BARRIER TO EVALUATING IN COLUMN C.)

FACTORS	COLUMN A EXTENT FACTOR CURRENTLY A BARRIER TO PLANNING						COLUMN B EXTENT FACTOR CURRENTLY A BARRIER TO IMPLEMENTING						COLUMN C EXTENT FACTOR CURRENTLY A BARRIER TO EVALUATING					
	Little or no Some		Moderate		Great		Little or no Some		Moderate		Great		Little or no Some		Moderate		Great	
	1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6
1. Political opposition	61	29	13	3	0	2	46	22	21	7	1	0	80	6	5	1	0	4
2. Lack of experience with previous categorical programs	88	5	3	2	0	1	84	5	3	3	0	1	83	7	0	2	0	4
3. Federal regulations	38	15	23	13	10	0	32	18	21	18	9	0	51	16	21	2	4	3
4. Federal housing standards	61	18	10	5	2	1	56	13	15	8	3	1	71	11	5	2	0	5
5. Legal constraints to comply with Federal procedures	59	14	13	6	5	1	55	14	17	9	1	1	69	9	11	2	1	3
6. Federal record-keeping/reporting requirements	59	14	14	7	3	0	49	16	18	10	4	0	50	15	14	10	3	3
7. Lack of long-term Federal financial commitment	19	16	17	18	28	0	27	17	12	21	20	0	62	11	7	5	5	6
8. State regulations	82	7	4	2	1	2	76	10	5	2	1	3	83	4	3	0	1	5
9. State housing standards	79	5	3	2	0	4	77	6	1	3	0	4	80	3	0	1	0	6
10. Lack of well-defined roles within particular city/county organizations	71	14	12	1	1	0	70	12	9	5	0	0	73	13	4	1	1	3
11. Fragmented responsibility among several city/county organizations	68	16	9	4	1	1	67	17	7	6	0	0	68	15	7	1	1	4
12. Absence of authority to earmark CDBG funds to housing activities	77	8	6	5	2	0	78	7	5	4	2	1	79	6	3	2	1	5
13. Shortage of funds from private lending institutions	35	17	17	20	9	0	31	12	20	21	13	0	71	10	2	3	3	7
14. Local contractors unfamiliar with labor standard requirements	69	18	7	1	2	1	60	19	9	6	3	0	73	12	2	2	0	6
15. Absence of authority to impose financial consequences for poor performance	74	14	1	7	1	1	63	14	8	6	3	1	75	6	4	2	2	4
16. Lack of staff	68	22	4	4	0	0	66	23	5	2	1	0	70	16	4	2	1	3
17. Lack of trained staff (e.g., education)	76	13	7	3	0	0	72	16	8	2	0	0	72	12	9	1	0	3
18. Lack of experienced staff (e.g., on-the-job experience)	75	16	5	2	0	0	69	17	5	5	0	0	72	14	7	0	0	3
19. Other (SPECIFY)	3	0	0	0	4	7	3	1	0	0	4	6	3	1	1	0	3	7

GAO NOTE: Frequency distributions are shown in Columns "A", "B" and "C".



5. Consider the planning activities listed below that are to be performed under the CDBG program.

A. In which of the following planning activities, if any, is staffing (lack of staff, lack of trained and/or experienced staff) currently a barrier to planning your CDBG program housing activities? (CHECK ALL THAT APPLY IN COLUMN A.)

IF STAFFING IS NOT A BARRIER TO PLANNING, CHECK BOX  AND CONTINUE WITH QUESTION 5 PART B.

B. Regardless if staffing is a barrier, please answer the following question. For each of the following planning activities performed in developing your city's/county's most recent Housing Assistance Plan, annual housing assistance implementation plan, or CDBG grant application was technical assistance (telephone calls, meetings, training, consulting, etc.) needed by your city/county? (CHECK ALL THAT APPLY IN COLUMN B.)

C. Regardless if technical assistance was needed, please answer the following question. For each of the following planning activities performed in developing your city's/county's most recent Housing Assistance Plan, annual housing assistance implementation plan, or CDBG grant application what was the extent of technical assistance (telephone calls, meetings, training, consulting, etc.) that your city/county received from each of the following sources? (FOR EACH PLANNING ACTIVITY ENTER IN COLUMNS C1-C5 THE EXTENT OF TECHNICAL ASSISTANCE RECEIVED FROM EACH SOURCE USING THE FOLLOWING NUMBERS: 1=NO ASSISTANCE RECEIVED; 2=SOME ASSISTANCE RECEIVED; 3=MODERATE AMOUNT OF ASSISTANCE RECEIVED; 4=A GREAT AMOUNT OF ASSISTANCE RECEIVED; 5=A VERY GREAT AMOUNT OF ASSISTANCE RECEIVED; 6=NO BASIS TO JUDGE.)

PLANNING ACTIVITIES	COLUMN A	COLUMN B	COLUMN C EXTENT OF TECHNICAL ASSISTANCE RECEIVED (USE 1,2,3,4,5 OR 6 FOR EACH SOURCE)				
	STAFFING IS A BARRIER	TECHNICAL ASSISTANCE WAS NEEDED	Federal Sources	State Sources	Regional Sources	Local Sources	Private Sources
			C1	C2	C3	C4	C5
1. Survey housing conditions	28	35	1.5	1.1	1.7	2.5	1.4
2. Survey housing assistance needs	26	40	1.6	1.1	1.6	2.6	1.4
3. Setting housing assistance objectives	8	37	1.8	1.2	1.5	2.5	1.3
4. Setting annual goals for housing assistance	8	49	2.2	1.1	1.5	2.5	1.3
5. Setting long-term goals for housing assistance	9	51	1.9	1.1	1.5	2.5	1.4
6. Setting priorities and selecting housing assistance activities to be funded	8	22	1.6	1.1	1.3	2.6	1.4
7. Selecting population groups in need of housing assistance	10	24	1.5	1.1	1.3	2.7	1.4
8. Preparing CDBG grant application	9	34	1.9	1.1	1.2	2.4	1.3
9. Preparing applications for other sources of funds	16	28	1.6	1.5	1.3	2.3	1.5
10. Other (SPECIFY)	0	1	1.0	1.0	1.0	1.0	3.0

GAO NOTE: Frequency distributions are shown in Columns "A" and "B". Responses shown in Column "C" reflect the average amount of technical assistance respondents received for each activity. The average amount of assistance should be interpreted using the scale defined in question 5, part C.

Consider the implementing activities listed below that are to be performed under the CDBG program.

- A. In which of the following implementing activities, if any, is staffing (lack of staff, lack of trained and/or experienced staff) currently a barrier to implementing your CDBG program housing activities? (CHECK ALL THAT APPLY IN COLUMN A.)

IF STAFFING IS NOT A BARRIER TO IMPLEMENTING, CHECK BOX  AND CONTINUE WITH QUESTION 6 PART B.

- B. Regardless if staffing is a barrier, please answer the following question. For the most recent time each of the following implementing activities was performed by your city/county, was technical assistance (telephone calls, meetings, training, consulting, etc.) needed by your city/county? (CHECK ALL THAT APPLY IN COLUMN B.)
- C. Regardless if technical assistance was needed, please answer the following question. For the most recent time each of the following implementing activities was performed by your city/county, what was the extent of technical assistance (telephone calls, meetings, training, consulting, etc.) that your city/county received from each of the following sources? (FOR EACH IMPLEMENTING ACTIVITY ENTER IN COLUMNS C1-C5 THE EXTENT OF TECHNICAL ASSISTANCE RECEIVED FROM EACH SOURCE USING THE FOLLOWING NUMBERS: 1=NO ASSISTANCE RECEIVED; 2=SOME ASSISTANCE RECEIVED; 3=MODERATE AMOUNT OF ASSISTANCE RECEIVED; 4=A GREAT AMOUNT OF ASSISTANCE RECEIVED; 5=A VERY GREAT AMOUNT OF ASSISTANCE RECEIVED; 6=NO BASIS TO JUDGE.)

IMPLEMENTING ACTIVITIES	COLUMN A STAFFING IS A BARRIER	COLUMN B TECHNICAL ASSISTANCE WAS NEEDED	COLUMN C EXTENT OF TECHNICAL ASSISTANCE RECEIVED (USE 1,2,3,4,5 OR 6 FOR EACH SOURCE)				
			Federal Sources	State Sources	Regional Sources	Local Sources	Private Sources
			C1	C2	C3	C4	C5
1. Publicizing housing assistance programs	8	15	1.3	1.1	1.1	2.2	1.4
2. Reviewing and evaluating project applications	8	25	1.7	1.2	1.1	2.0	1.5
3. Supervising project design, financing, contracting, and inspection	18	38	1.6	1.2	1.1	2.2	1.6
4. Coordinating financing techniques	24	47	1.7	1.3	1.1	2.1	2.1
5. Coordinating other housing programs with CDBG housing activities	11	30	1.6	1.3	1.2	2.4	1.5
6. Loan/grant processing	16	25	1.4	1.2	1.0	2.1	1.6
7. Negotiating and administering contracts	12	17	1.3	1.1	1.0	2.0	1.4
8. Certifying eligible recipients	7	11	1.3	1.1	1.0	2.1	1.3
9. Counseling homeowners and tenants	21	21	1.3	1.1	1.0	2.2	1.5
10. Other (SPECIFY) _____	0	0	1.0	1.0	1.0	1.0	1.0

GAO NOTE: For interpretation of responses see GAO note on page 11.

7. Consider the evaluating activities listed below that are to be performed under the CDBG program.

A. In which of the following evaluating activities, if any, is staffing (lack of staff, lack of trained and/or experienced staff) currently a barrier to evaluating your CDBG program housing activities? (CHECK ALL THAT APPLY IN COLUMN A.)

IF STAFFING IS NOT A BARRIER TO EVALUATING, CHECK BOX  AND CONTINUE WITH QUESTION 7 PART B.

B. Regardless if staffing is a barrier, please answer the following question. For the most recent time each of the following evaluating activities was performed by your city/county, was technical assistance (telephone calls, meetings, training, consulting, etc.) needed by your city/county? (CHECK ALL THAT APPLY IN COLUMN B.)

C. Regardless if technical assistance was needed, please answer the following question. For the most recent time each of the following evaluating activities was performed by your city/county, what was the extent of technical assistance (telephone calls, meetings, training, consulting, etc.) that your city/county received from each of the following sources? (FOR EACH EVALUATING ACTIVITY ENTER IN COLUMNS C1-C5 THE EXTENT OF TECHNICAL ASSISTANCE RECEIVED FROM EACH SOURCE USING THE FOLLOWING NUMBERS: 1=NO ASSISTANCE RECEIVED; 2=SOME ASSISTANCE RECEIVED; 3=MODERATE AMOUNT OF ASSISTANCE RECEIVED; 4=A GREAT AMOUNT OF ASSISTANCE RECEIVED; 5=A VERY GREAT AMOUNT OF ASSISTANCE RECEIVED; 6=NO BASIS TO JUDGE.)

EVALUATING ACTIVITIES	COLUMN A STAFFING IS A BARRIER	COLUMN B TECHNICAL ASSISTANCE WAS NEEDED	COLUMN C EXTENT OF TECHNICAL ASSISTANCE RECEIVED (USE 1,2,3,4,5 OR 6 FOR EACH SOURCE)				
			Federal Sources	State Sources	Regional Sources	Local Sources	Private Sources
			C1	C2	C3	C4	C5
1. Monitoring housing assistance activities	17	23	2.1	1.1	1.2	2.2	1.3
2. Performing fiscal audits of housing assistance activities	19	49	1.9	1.1	1.0	2.1	2.6
3. Performing performance reviews	13	32	2.1	1.1	1.1	2.2	1.4
4. Preparing reports on the results of fiscal audits	10	29	1.6	1.1	1.1	1.9	1.9
5. Preparing reports on the results of performance reviews	10	26	1.7	1.1	1.2	2.1	1.3
6. Maintaining records of housing assistance activities	6	17	1.5	1.2	1.2	2.2	1.3
7. Certifying conformance to applicable laws and regulations	6	21	1.8	1.2	1.1	2.1	1.3
8. Other (SPECIFY) _____	0	0	1.0	1.0	1.0	3.0	1.0

GAO NOTE: For interpretation of responses see GAO note on page 11.

8. Under the CDBG program, an annual performance report (Grantee Performance Report) is required for evaluating performance. In evaluating performance, how useful to your city/county was the information provided in each of the following assessment areas? (FOR EACH ANNUAL PERFORMANCE REPORT ASSESSMENT AREA, CHECK ONE BOX UNDER LEVEL OF USEFULNESS OF INFORMATION IN EVALUATING PERFORMANCE.)

ANNUAL PERFORMANCE REPORT ASSESSMENT AREAS	Mean response	LEVEL OF USEFULNESS OF INFORMATION IN EVALUATING PERFORMANCE					
		1 Little or no use	2 Somewhat useful	3 Moderately useful	4 Greatly useful	5 Very greatly useful	6 No basis to judge
1. Progress on planned housing activities	3.0	15	17	31	25	9	2
2. Assessment of effectiveness in meeting local housing needs and objectives and national housing objectives	2.4	29	24	25	15	4	3
3. Analysis of the persons benefitting from program housing activities	2.9	17	15	32	25	9	2
4. Progress in carrying out the Housing Assistance Plan	2.7	20	19	33	19	7	2
5. Actions taken to comply with citizen participation requirements	2.3	35	19	22	17	3	4
6. Other (SPECIFY)	2.5	1	0	0	1	0	1

GAO NOTE: A frequency distribution is shown.

## II. DEMOGRAPHICS

Questions 9 to 12 concern a demographic description of your city/county.

9. Consider your city's/county's population growth or decline since 1970.

- A. What was the total population in your city/county in 1970 and in 1980? (WRITE IN TOTAL POPULATION IN 1970 AND 1980.)

Population Ranges (based on responses)	Frequency	
	1970	1980
Less than 250,000	78	78
250,000 - 500,000	15	15
500,000 or more	6	6

- B. What percentage of your total population in 1970 and in 1980 was non-white? (WRITE IN PERCENTAGE OF NON-WHITE POPULATION IN 1970 AND IN 1980.)

	Mean	Median
1. Percentage non-white population in 1970	14%	10%
2. Percentage non-white population in 1980	19%	15%

10. What percentage of the housing stock in your city/county was built during each of the following periods? (FOR EACH TIME PERIOD WRITE IN THE PERCENTAGE OF HOUSING STOCK. GIVE YOUR BEST ESTIMATE.)

TIME PERIOD	PERCENTAGE OF HOUSING STOCK	
	Mean	Median
Before 1939	37%	35%
1939 to present	62%	65%

11. Using the most recent source available, what is the median area income for a four-person household in your city/county? (WRITE IN THE MEDIAN AREA INCOME AND THE SOURCE AND TIME PERIOD FOR THIS INFORMATION IN THE SPACE PROVIDED BELOW.)

1. MEDIAN AREA INCOME: Mean response \$19,051

SOURCE AND TIME PERIOD FOR THIS INFORMATION: \_\_\_\_\_

GAO NOTE: Eighty-four (84) percent of the responding localities provided median area income from 1975 through 1982. The mean response indicated above is based on median area incomes for these years.

12. Consider the following definitions of households:

- Elderly households are one-to-two person households containing a person over 62 years of age or containing nonelderly handicapped individuals.
- Small family households are households of four or fewer persons, excluding elderly households.
- Large family households are households of five or more persons, excluding elderly households.

Also, consider the following definitions of income groups:

- Low income - Household income is less than 50 percent of your area's median income.
- Moderate income - Household income is between 51 and 80 percent of your area's median income.
- Middle income - Household income is between 81 and 120 percent of your area's median income.
- Upper income - Household income is greater than 120 percent of your area's median income.

A. How many elderly, small family and large family households were in your city/county during the following time periods? (FOR EACH TIME PERIOD WRITE IN THE NUMBER OF ELDERLY, SMALL FAMILY AND LARGE FAMILY HOUSEHOLDS.)

TIME PERIOD	ELDERLY	SMALL FAMILY	LARGE FAMILY
1970 Mean responses	11,065	34,169	10,905
1980 Mean responses	6,928	16,928	4,199

B. For each of the following types of households what percentage of these households are in the low, moderate, middle and upper income groups? (FOR EACH TYPE OF HOUSEHOLD WRITE IN THE PERCENTAGE OF HOUSEHOLDS THAT ARE IN THE LOW, MODERATE, MIDDLE AND UPPER INCOME GROUPS IN 1970 AND 1980. PERCENTAGES MUST TOTAL 100.)

INCOME GROUPS	1970 Mean Responses			1980 Mean Responses		
	Elderly	Small Family	Large Family	Elderly	Small Family	Large Family
Low income	44	30	18	42	26	22
Moderate income	18	23	24	23	27	23
Middle income	13	24	27	14	31	30
Upper income	21	31	32	22	25	34
TOTAL	100%	100%	100%	100%	100%	100%

GAO NOTE: The response rate for Questions 12A and 12B was substantially lower than the overall response rate for the survey. Approximately 50 localities provided 1970 data for Question 12A and 17 localities reported 1980 data for the same question. Approximately 25 localities provided 1970 data for the various components of Question 12B and approximately 10 localities reported 1980 data for this question.

11. Using the most recent source available, what is the median area income for a four-person household in your city/county? (WRITE IN THE MEDIAN AREA INCOME AND THE SOURCE AND TIME PERIOD FOR THIS INFORMATION IN THE SPACE PROVIDED BELOW.)

1. MEDIAN AREA INCOME: Median response \$19,356

SOURCE AND TIME PERIOD FOR THIS INFORMATION: \_\_\_\_\_

GAO NOTE: Eight-four (84) percent of the responding localities provided median area income from 1975 through 1982. The median response indicated above is based on median area incomes for these years.

12. Consider the following definitions of households:

- Elderly households are one-to-two person households containing a person over 62 years of age or containing nonelderly handicapped individuals.
- Small family households are households of four or fewer persons, excluding elderly households.
- Large family households are households of five or more persons, excluding elderly households.

Also, consider the following definitions of income groups:

- Low income - Household income is less than 50 percent of your area's median income.
- Moderate income - Household income is between 51 and 80 percent of your area's median income.
- Middle income - Household income is between 81 and 120 percent of your area's median income.
- Upper income - Household income is greater than 120 percent of your area's median income.

A. How many elderly, small family and large family households were in your city/county during the following time periods? (FOR EACH TIME PERIOD WRITE IN THE NUMBER OF ELDERLY, SMALL FAMILY AND LARGE FAMILY HOUSEHOLDS.)

TIME PERIOD	ELDERLY	SMALL FAMILY	LARGE FAMILY
1970 Median responses	4,441	14,336	5,608
1980 Median responses	5,036	12,419	2,993

B. For each of the following types of households what percentage of these households are in the low, moderate, middle and upper income groups? (FOR EACH TYPE OF HOUSEHOLD WRITE IN THE PERCENTAGE OF HOUSEHOLDS THAT ARE IN THE LOW, MODERATE, MIDDLE AND UPPER INCOME GROUPS IN 1970 AND 1980. PERCENTAGES MUST TOTAL 100.)

INCOME GROUPS	1970 Median Responses			1980 Median Responses		
	Elderly	Small Family	Large Family	Elderly	Small Family	Large Family
Low income	51	26	13	31	13	18
Moderate income	17	19	17	22	23	16
Middle income	11	21	25	15	27	22
Upper income	15	32	37	11	19	29
TOTAL	100%	100%	100%	100%	100%	100%

GAO NOTE: The response rate for Questions 12A and 12B was substantially lower than the overall response rate for the survey. Approximately 50 localities provided 1970 data for Question 12A and 17 localities reported 1980 data for the same question. Approximately 25 localities provided 1970 data for the various components of Question 12B and approximately 10 localities reported 1980 data for this question.

13. Consider each of the possible housing objectives listed below.

- A. Please indicate the three priority housing objectives your city/county currently has. (UNDER PRIORITY OBJECTIVE IN COLUMN A, PLACE A "1" IN THE BOX NEXT TO THE MOST IMPORTANT HOUSING OBJECTIVE, A "2" IN THE BOX NEXT TO THE SECOND MOST IMPORTANT HOUSING OBJECTIVE, AND A "3" IN THE BOX NEXT TO THE THIRD MOST IMPORTANT HOUSING OBJECTIVE.)
- B. Please indicate the three housing objectives on which your current CDBG housing activities have the most impact. (UNDER OBJECTIVE AFFECTED MOST BY CDBG HOUSING ACTIVITIES IN COLUMN B, PLACE A "1" IN THE BOX NEXT TO THE HOUSING OBJECTIVE MOST AFFECTED BY CDBG HOUSING ACTIVITIES, A "2" IN THE BOX NEXT TO THE HOUSING OBJECTIVE SECOND MOST AFFECTED BY CDBG HOUSING ACTIVITIES, AND A "3" IN THE BOX NEXT TO THE HOUSING OBJECTIVE THIRD MOST AFFECTED BY CDBG HOUSING ACTIVITIES.)

HOUSING OBJECTIVES	COLUMN A			COLUMN B		
	PRIORITY OBJECTIVES (USE 1, 2, & 3)			OBJECTIVE AFFECTED MOST BY CDBG HOUSING ACTIVITIES (USE 1, 2, & 3)		
	1	2	3	1	2	3
1. Provide housing assistance to low- and moderate-income households living in substandard housing	37	18	6	37	13	11
2. Provide housing assistance to low- and moderate-income households living in overcrowded housing	0	0	1	0	1	0
3. Provide housing assistance to low- and moderate-income households paying an excessive proportion of their income for housing expenses	3	9	9	3	6	4
4. Provide housing assistance to elderly households living in substandard housing	5	5	5	3	12	4
5. Provide housing assistance to elderly households living in overcrowded housing	0	0	0	0	0	0
6. Provide housing assistance to elderly households paying an excessive proportion of their income for housing expenses	2	3	2	3	5	2
7. Provide housing assistance to non-elderly households (small and large families) living in substandard housing	2	3	2	3	0	6
8. Provide housing assistance to non-elderly households (small and large families) living in overcrowded housing	0	0	1	0	0	1
9. Provide housing assistance to non-elderly households (small and large families) paying an excessive proportion of their income for housing expenses	1	1	1	1	0	1
10. Encourage homeownership among low- and moderate-income households	0	2	1	0	5	1
11. Encourage homeownership among middle- and upper-income households	1	0	1	1	0	0
12. Increase or maintain the total available supply of decent quality owner housing units	6	14	17	8	15	15
13. Increase or maintain the total available supply of decent quality rental housing units	9	7	8	5	6	8
14. Improve the quality of blighted and deteriorated neighborhoods	16	17	23	19	20	20
15. Achieve greater spatial dispersion of low- and moderate-income housing outside of concentrated poverty areas	0	3	7	0	1	7
16. Other (SPECIFY) _____	1	1	1	2	0	1

GAO NOTE: The frequency of responses is indicated for housing objectives when ranked as priority objectives 1, 2 and 3.

14. Consider the neighborhood areas listed below that many cities/counties have determined to have a particular need for housing assistance.
- A. Which of the following neighborhood areas (if any) does your city/county designate as an area where housing assistance should be targeted? (FOR EACH NEIGHBORHOOD AREA, CHECK ONE BOX UNDER AREA DESIGNATED IN COLUMN A.)
- B. Regardless of whether or not a neighborhood area is designated, for each neighborhood area to what extent has your city/county targeted CDBG housing activities? (FOR EACH NEIGHBORHOOD AREA, CHECK ONE BOX UNDER EXTENT CDBG HOUSING ACTIVITIES TARGETED TO AREA IN COLUMN B.)

NEIGHBORHOOD AREAS	COLUMN A AREA DESIGNATED		COLUMN B EXTENT CDBG HOUSING ACTIVITIES TARGETED TO AREA					
	Yes	No	Little or no targeting	Somewhat targeted	Moderately targeted	Greatly targeted	Very greatly targeted	No basis to judge
	1	2	1	2	3	4	5	6
1. Blighted and deteriorated areas	85	10	5	11	18	25	33	2
2. Areas of concentrated poverty	71	22	9	15	21	19	22	4
3. Neighborhood conservation and preservation areas	57	33	21	14	18	20	11	7
4. Neighborhood revitalization areas	80	13	11	9	17	33	21	3
5. Other (SPECIFY)	7	2	1	1	1	3	2	1

15. Which of the following general criteria does your city/county use in defining substandard housing units? (CHECK ALL THAT APPLY. PLEASE LIST ALL OTHER CRITERIA USED IN YOUR CITY/COUNTY UNDER 'OTHER' BELOW - RESPONSE CATEGORY 18.)

1. Unit lacks or shares complete plumbing (hot and cold water, flush toilet, and bathtub or shower inside the structure)	91
2. Unit lacks or shares a complete kitchen (installed sink with piped water, a range or cooking stove, and mechanical refrigerator)	72
3. Absence of a public sewer, septic tank, cesspool, or chemical toilet	82
4. Unit lacks means of heating	87
5. Unit is heated by unvented room heater burning gas, oil, or kerosene	74
6. Unit is heated by fireplace, stove, or portable room heater	52
7. Unit has leaking roof	86
8. Unit has open cracks or holes in interior walls or ceilings	77
9. Unit has holes in the interior floor	83
10. Unit has broken plaster or peeling paint (over one square foot) on interior walls or ceilings	52
11. Public hall lacks light fixtures	55
12. Loose or missing steps on common stairways	77
13. Stair railings missing or not firmly attached	68
14. Access to sole flush toilet is through one of two or more bedrooms used for sleeping	55
15. Unit has exposed wiring	85
16. Fuses on circuit breakers blew 3 or more times in last 90 days	40
17. Unit lacks wall plugs (outlet) in one or more rooms	69
18. Other (SPECIFY)	37

GAO NOTE: Frequency distributions are shown in question 14 columns "A" and "B" and question 15.



16. Consider owner housing units located in your city/country. Owner housing units include all nonseasonal units, regardless of structure type, and all nonseasonal vacant units available for sale only. (IN PARTS 5A, 5B, AND 5C, IF THE NUMBER OF OCCUPIED AND VACANT UNITS IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL OF ALL OWNER HOUSING UNITS. IF DATA ARE NOT AVAILABLE BY THE TOTAL OF ALL OWNER HOUSING UNITS PLEASE CHECK THE BOX. GIVE YOUR BEST ESTIMATE.)

A. How many occupied, vacant, and/or total owner housing units are in standard condition? (IN COLUMN A WRITE IN NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

OWNER HOUSING UNITS IN STANDARD CONDITION		
NUMBER OF UNITS	MEAN	MEDIAN
1. Owner-occupied units	2,735,904	32,570
2. Vacant homeowner units	66,839	744
3. Total all owner housing units	3,012,162	34,229

Data are not available.

B. How many occupied, vacant, and/or total owner housing units are currently in substandard condition? (IN COLUMN A WRITE IN NUMBER OF UNITS AND IN COLUMN B WRITE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

OWNER HOUSING UNITS IN SUBSTANDARD CONDITION		
NUMBER OF UNITS	MEAN	MEDIAN
1. Owner-occupied units	286,272	3,287
2. Vacant homeowner units	10,580	114
3. Total all owner housing units	288,886	3,369

Data are not available.

C. How many occupied, vacant, and/or total owner housing units in substandard condition are suitable for rehabilitation (suitable means that the total rehabilitation costs do not exceed 75 percent of the after rehabilitation market value of the units)? (IN COLUMN A WRITE IN NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

OWNER HOUSING UNITS IN SUBSTANDARD CONDITION SUITABLE FOR REHABILITATION		
NUMBER OF UNITS	MEAN	MEDIAN
1. Owner-occupied units	216,832	2,853
2. Vacant homeowner units	7,788	86
3. Total all owner housing units	238,062	2,903

Data are not available.

GAO NOTE: Column "A" has been revised for the purpose of this supplement to present the total number of units reported by all responding localities. Line 3, "Total . . . housing units", may not equal the sum of lines 1 and 2 because some respondents reported only total units or only one line of data. Column "B" has been revised to present mean and median responses.

Numbers appearing in the boxes marked "Data are not available" represent localities that were either unable to provide the information requested or provided their best estimate.

17. Consider renter housing units located in your city/country. Renter housing units include all nonseasonal units occupied by renters, regardless of structure type, and all nonseasonal vacant units available for rent. (IN PARTS 6A, 6B, AND 6C, IF THE NUMBER OF OCCUPIED AND VACANT UNITS IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL OF ALL RENTER HOUSING UNITS. IF DATA ARE NOT AVAILABLE BY THE TOTAL OF ALL RENTER HOUSING UNITS PLEASE CHECK THE BOX. GIVE YOUR BEST ESTIMATE.)

A. How many occupied, vacant, and/or total renter housing units are in standard condition? (IN COLUMN A WRITE IN NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

RENTER HOUSING UNITS IN STANDARD CONDITION

	NUMBER OF UNITS	MEAN	MEDIAN
1. Renter-occupied units	1,662,844	21,161	10,836
2. Vacant rental units	58,945	589	272
3. Total all renter housing units	1,840,813	21,654	11,150

Data are not available.

B. How many occupied, vacant, and/or total renter housing units are in substandard condition? (IN COLUMN A WRITE IN NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

RENTER HOUSING UNITS IN SUBSTANDARD CONDITION

	NUMBER OF UNITS	MEAN	MEDIAN
1. Renter-occupied units	328,444	4,211	1,662
2. Vacant rental units	26,565	260	57
3. Total all renter housing units	364,597	4,446	1,679

Data are not available.

C. How many occupied, vacant, and/or total renter housing units in substandard condition are currently suitable for rehabilitation (suitable means that total rehabilitation costs do not exceed 75 percent of the after rehabilitation market value of the units)? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

RENTER HOUSING UNITS IN SUBSTANDARD CONDITION SUITABLE FOR REHABILITATION

	NUMBER OF UNITS	MEAN	MEDIAN
1. Renter-occupied units	274,289	3,707	1,380
2. Vacant rental units	23,231	242	25
3. Total all renter housing units	306,485	3,929	1,412

Data are not available.

SEE GAO NOTE ON PAGE 19.

18. For a household in your city/county what minimum percentage of monthly adjusted household income (adjusted by, for example, household size, taxes, etc.) paid for housing expenses (such as mortgage payment, rent, utilities, etc.) is considered excessive? (CHECK ONE BOX.)

PERCENTAGE OF HOUSEHOLD INCOME CONSIDERED EXCESSIVE

- 1. Household pays 20 percent of income for housing expenses
- 2. Household pays 25 percent of income for housing expenses
- 3. Household pays 30 percent of income for housing expenses
- 4. Household pays 35 percent of income for housing expenses
- 5. Household pays 40 percent of income for housing expenses
- 6. Other (SPECIFY) \_\_\_\_\_

1	Mean response =
25	3.3 or between
30	30 and 35 percent
23	of income
8	
4	

19. Consider the household types in need of housing assistance in your city/county. Need means the household is occupying a substandard or overcrowded unit or is paying an excessive percentage of income for housing expenses (IN PARTS 8A and 8B, IF THE NUMBER OF ELDERLY, SMALL FAMILY, AND LARGE FAMILY HOUSEHOLDS IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL OF ALL HOUSEHOLD TYPES. IF DATA ARE NOT AVAILABLE BY THE TOTAL OF ALL HOUSEHOLD TYPES PLEASE CHECK THE BOX. GIVE YOUR BEST ESTIMATE.)

A. How many elderly, small family, large family, and/or total households occupying owner housing units are in need of housing assistance? (IN COLUMN A WRITE IN THE NUMBER OF HOUSEHOLDS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

OWNER HOUSEHOLDS IN NEED OF HOUSING ASSISTANCE

	<u>NUMBER OF HOUSEHOLDS</u>	<u>MEAN</u>	<u>MEDIAN</u>
1. Elderly households	120,739	1,472	878
2. Small family households	127,048	1,549	614
3. Large family households	55,538	677	329
4. Total all household types	305,123	3,590	1,883

Data are not available.

B. How many elderly, small family, large family, and/or total households occupying renter housing units are in need of housing assistance? (IN COLUMN A WRITE IN THE NUMBER OF HOUSEHOLDS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

RENTER HOUSEHOLDS IN NEED OF HOUSING ASSISTANCE

	<u>NUMBER OF HOUSEHOLDS</u>	<u>MEAN</u>	<u>MEDIAN</u>
1. Elderly households	222,026	2,643	1,154
2. Small family households	480,390	5,481	2,425
3. Large family households	92,874	1,106	519
4. Total all household types	792,634	9,111	4,325

Data are not available.

SEE GAO NOTE ON PAGE 19.

20. Consider the 3-year period covered in your most recent Housing Assistance Plan. (IN PARTS 20A, 20B, 20C, 20D, 20E, AND 20F, IF THE NUMBER OF UNITS IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL UNITS. IF DATA ARE NOT AVAILABLE BY THE TOTAL PLEASE CHECK THE APPROPRIATE BOX. GIVE YOUR BEST ESTIMATE.)

A. Based on your Housing Assistance Plan, how many substandard housing units does your city/county plan to rehabilitate for each of the following types of housing units? (WRITE IN THE NUMBER OF UNITS.)

	TOTAL	MEAN	MEDIAN
1. Owner housing units	34,575	360	240
2. Renter housing units	50,620	533	184
3. Total all housing unit types	85,905	896	488
<input checked="" type="checkbox"/> Data are not available.			

B. Based on your Housing Assistance Plan, how many substandard housing units does your city/county plan to rehabilitate for each of the following household types? (WRITE IN THE NUMBER OF UNITS.)

	TOTAL	MEAN	MEDIAN
1. Elderly households	25,766	296	180
2. Small family households	37,963	427	195
3. Large family households	11,939	133	64
4. Total all household types	86,005	896	488
<input checked="" type="checkbox"/> Data are not available.			

C. Based on your Housing Assistance Plan, how many substandard housing units does your city/county plan to rehabilitate for each of the following household income groups? (WRITE IN THE NUMBER OF UNITS.)

	TOTAL	MEAN	MEDIAN
1. Low income households	19,909	486	233
2. Moderate income households	8,684	217	28
3. Middle income households	1,523	21	0
4. Upper income households	0	0	0
5. Total all household income groups	84,341	907	488
<input checked="" type="checkbox"/> Data are not available.	(33,246) <sup>A</sup>	(899) <sup>A</sup>	(530) <sup>A</sup>

D. Based on your Housing Assistance Plan, how many new assisted housing units are planned for construction for each of the following types of housing units? (WRITE IN THE NUMBER OF UNITS.)

	TOTAL	MEAN	MEDIAN
1. Owner housing units	5,347	56	0
2. Renter housing units	40,686	419	264
3. Total all housing unit types	45,954	479	303
<input checked="" type="checkbox"/> Data are not available.			

E. Based on your Housing Assistance Plan, how many new assisted housing units are planned for construction for each of the following household types. (WRITE IN THE NUMBER OF UNITS.)

	TOTAL	MEAN	MEDIAN
1. Elderly households	17,243	189	108
2. Small family households	21,316	222	149
3. Large family households	5,786	80	35
4. Total all household types	45,895	488	308
<input checked="" type="checkbox"/> Data are not available.			

F. Based on your Housing Assistance Plan, how many new assisted housing units are planned for construction for each of the following household income groups? (WRITE IN THE NUMBER OF UNITS.)

	TOTAL	MEAN	MEDIAN
1. Low income households	11,242	250	138
2. Moderate income households	2,892	83	0
3. Middle income households	714	9	0
4. Upper income households	0	0	0
5. Total all household income groups	41,802	470	300
<input checked="" type="checkbox"/> Data are not available.	(18,469) <sup>A</sup>	(577) <sup>A</sup>	(412) <sup>A</sup>

<sup>A</sup> This number represents the sum of low and moderate income households provided by respondents who were unable to distinguish between low income and moderate income households. The number appearing in the boxes marked "Data are not available" represent the number of respondents that were unable to provide the information requested or could only provide estimates.

See GAO note on page 19.

21. For each CDBG program year that your city/county has participated in (including your current CDBG program year) please provide the following information.

- A. What was the date each program year started and ended?
- B. What was the total amount of CDBG funds allocated to your city/county and what percentage of these total CDBG funds was used for administrative purposes?
- C. How much of the total CDBG funds allocated to your city/county was used for the following housing and housing-related activities? (IF THE AMOUNT OF ALLOCATED FUNDS USED FOR EACH ACTIVITY IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL FUNDS USED FOR HOUSING AND HOUSING-RELATED ACTIVITIES.)
- D. What percentage of the total CDBG housing funds was used for administrative purposes?

CDBG PROGRAM ACTIVITIES AND COSTS	CDBG PROGRAM PARTICIPATION						
	Year	Year	Year	Year	Year	Year	Year
	1	2	3	4	5	6	7
A. DATES OF PROGRAM YEAR:							
1. Month/year program started	1975	1976	1977	1978	1979	1980	1981
2. Month/year program ended	1976	1977	1978	1979	1980	1981	1982

B. TOTAL CDBG FUND ALLOCATIONS:	GAO NOTE: SUMS OF ALL RESPONSES ARE SHOWN						
1. Total amount (in thousands) of CDBG funds allocated for all activities (housing and non-housing activities)	\$ 253,842	\$ 279,265	\$ 326,065	\$ 331,147	\$ 300,847	\$ 317,956	\$ 295,316
2. Percentage of total CDBG funds used for administrative purposes	(SEE PAGES 24 AND 25 FOR MEANS AND MEDIANS)						
	%	%	%	%	%	%	%

C. AMOUNT (in thousands) OF ALLOCATED FUNDS USED FOR HOUSING AND HOUSING-RELATED ACTIVITIES:	GAO NOTE: SUMS OF ALL RESPONSES ARE SHOWN						
1. Rehabilitation of publicly owned residential structures	\$ 3,881	\$ 1,365	\$ 4,201	\$ 13,628	\$ 314	\$ 8,036	\$ 3,753
2. Public housing modernization	\$ 1,386	\$ 3,276	\$ 2,493	\$ 4,033	\$ 1,433	\$ 1,515	\$ 1,323
3. Rehabilitation of privately owned properties	\$ 31,591	\$ 37,238	\$ 44,541	\$ 58,214	\$ 57,518	\$ 66,116	\$ 64,964
4. Demolition	\$ 5,609	\$ 4,462	\$ 5,189	\$ 6,895	\$ 7,680	\$ 8,732	\$ 9,402
5. Other (SPECIFY)	\$ 22,479	\$ 28,851	\$ 42,948	\$ 43,037	\$ 18,270	\$ 26,454	\$ 24,051
6. Total funds used for housing and housing-related activities	\$ 65,268	\$ 75,906	\$ 100,645	\$ 125,807	\$ 85,215	\$ 110,853	\$ 103,493

D. ADMINISTRATIVE COSTS FOR CDBG HOUSING ACTIVITIES:							
1. Percentage of total CDBG housing funds used for administrative costs (housing and housing-related activities only)	%	%	%	%	%	%	%

GAO NOTE: CDBG program years in all entitlement jurisdictions do not necessarily correspond with the calendar years shown above. However, 87% of the respondents reported that 1975 was their first year of participation in the CDBG program.

"Total funds used for housing and housing-related activities", line 6 of part C, may not equal the sum of lines one through five in part C because some localities entered only totals or only a few lines of data which were insufficient to derive a total.

21. For each CDBG program year that your city/county has participated in (including your current CDBG program year) please provide the following information.

- A. What was the date each program year started and ended?
- B. What was the total amount of CDBG funds allocated to your city/county and what percentage of these total CDBG funds was used for administrative purposes?
- C. How much of the total CDBG funds allocated to your city/county was used for the following housing and housing-related activities? (IF THE AMOUNT OF ALLOCATED FUNDS USED FOR EACH ACTIVITY IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL FUNDS USED FOR HOUSING AND HOUSING-RELATED ACTIVITIES.)
- D. What percentage of the total CDBG housing funds was used for administrative purposes?

CDBG PROGRAM ACTIVITIES AND COSTS	CDBG PROGRAM PARTICIPATION						
	Year	Year	Year	Year	Year	Year	Year
	1	2	3	4	5	6	7
<b>A. DATES OF PROGRAM YEAR:</b>							
1. Month/year program started	1975	1976	1977	1978	1979	1980	1981
2. Month/year program ended	1976	1977	1978	1979	1980	1981	1982
<b>B. TOTAL CDBG FUND ALLOCATIONS:</b>							
1. Total amount (in thousands) of CDBG funds allocated for all activities (housing and non-housing activities)	\$ 2,918	\$ 3,173	\$ 3,623	\$ 3,599	\$ 3,270	\$ 3,383	\$ 3,175
2. Percentage of total CDBG funds used for administrative purposes	8%	9%	9%	10%	10%	11%	12%
<b>C. AMOUNT (in thousands) OF ALLOCATED FUNDS USED FOR HOUSING AND HOUSING-RELATED ACTIVITIES:</b>							
1. Rehabilitation of publicly owned residential structures	\$ 48	\$ 16	\$ 49	\$ 150	\$ 3	\$ 86	\$ 42
2. Public housing modernization	\$ 17	\$ 38	\$ 29	\$ 44	\$ 16	\$ 16	\$ 15
3. Rehabilitation of privately owned properties	\$ 390	\$ 433	\$ 518	\$ 633	\$ 632	\$ 711	\$ 747
4. Demolition	\$ 69	\$ 52	\$ 60	\$ 75	\$ 84	\$ 94	\$ 108
5. Other (SPECIFY) _____	\$ 281	\$ 335	\$ 499	\$ 468	\$ 201	\$ 284	\$ 280
6. Total funds used for housing and housing-related activities	\$ 796	\$ 872	\$ 1,157	\$ 1,367	\$ 936	\$ 1,192	\$ 1,190
<b>D. ADMINISTRATIVE COSTS FOR CDBG HOUSING ACTIVITIES:</b>							
1. Percentage of total CDBG housing funds used for administrative costs (housing and housing-related activities only)	9%	13%	12%	15%	18%	16%	18%

GAO NOTE: CDBG program years in all entitlement jurisdictions do not necessarily correspond with the calendar years shown above. However, 87% of the respondents reported that 1975 was their first year of participation in the CDBG program.

"Total funds used for housing and housing-related activities", line 6 of part C, may not equal the sum of lines one through five in part C because some localities entered only totals or only a few lines of data which were insufficient to derive a total.

21. For each CDBG program year that your city/county has participated in (including your current CDBG program year) please provide the following information.

- A. What was the date each program year started and ended?
- B. What was the total amount of CDBG funds allocated to your city/county and what percentage of these total CDBG funds was used for administrative purposes?
- C. How much of the total CDBG funds allocated to your city/county was used for the following housing and housing-related activities? (IF THE AMOUNT OF ALLOCATED FUNDS USED FOR EACH ACTIVITY IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL FUNDS USED FOR HOUSING AND HOUSING-RELATED ACTIVITIES.)
- D. What percentage of the total CDBG housing funds was used for administrative purposes?

CDBG PROGRAM ACTIVITIES AND COSTS	CDBG PROGRAM PARTICIPATION						
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7
<b>A. DATES OF PROGRAM YEAR:</b>							
1. Month/year program started	1975	1976	1977	1978	1979	1980	1981
2. Month/year program ended	1976	1977	1978	1979	1980	1981	1982
<b>B. TOTAL CDBG FUND ALLOCATIONS:</b>							
1. Total amount (in thousands) of CDBG funds allocated for all activities (housing and non-housing activities)	GAO NOTE: MEDIAN RESPONSES ARE SHOWN						
	\$ 1,181	\$ 1,549	\$ 1,861	\$ 2,049	\$ 1,981	\$ 1,964	\$ 1,913
2. Percentage of total CDBG funds used for administrative purposes	7%	7%	8%	9%	9%	11%	12%
<b>C. AMOUNT (in thousands) OF ALLOCATED FUNDS USED FOR HOUSING AND HOUSING-RELATED ACTIVITIES:</b>							
	GAO NOTE: MEDIAN RESPONSES ARE SHOWN						
1. Rehabilitation of publicly owned residential structures	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
2. Public housing modernization	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
3. Rehabilitation of privately owned properties	\$ 50	\$ 116	\$ 198	\$ 302	\$ 362	\$ 426	\$ 413
4. Demolition	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
5. Other (SPECIFY) _____	\$ 0	\$ 0	\$ 10	\$ 0	\$ 29	\$ 20	\$ 3
6. Total funds used for housing and housing-related activities	\$ 144	\$ 203	\$ 386	\$ 457	\$ 531	\$ 563	\$ 613
<b>D. ADMINISTRATIVE COSTS FOR CDBG HOUSING ACTIVITIES:</b>							
1. Percentage of total CDBG housing funds used for administrative costs (housing and housing-related activities only)	0%	6%	10%	13%	16%	15%	15%

GAO NOTE: CDBG program years in all entitlement jurisdictions do not necessarily correspond with the calendar years shown above. However, 87% of the respondents reported that 1975 was their first year of participation in the CDBG program.

"Total funds used for housing and housing-related activities", line 6 of part C, may not equal the sum of lines one through five in part C because some localities entered only totals or only a few lines of data which were insufficient to derive a total.

22. For all CDBG program years, consider the types of households that have benefitted from the housing units assisted using the CDBG funds. (IN PARTS 22A AND 22B, IF THE NUMBER OF UNITS ASSISTED FOR EACH HOUSEHOLD TYPE IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL OF UNITS ASSISTED FOR ALL HOUSEHOLD TYPES. IF DATA ON THE TOTAL NUMBER OF UNITS ARE NOT AVAILABLE PLEASE CHECK THE BOX. GIVE YOUR BEST ESTIMATE.)

A. How many owner housing units has your city/county assisted under the CDBG program for elderly, small family, large family, and/or total households? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) OF THE DATA.)

OWNER HOUSING UNITS ASSISTED

	<u>NUMBER OF UNITS</u>	<u>MEAN</u>	<u>MEDIAN</u>
1. Elderly households	13,832	180	100
2. Small family households	13,745	181	95
3. Large family households	5,014	68	40
4. Total all household groups	35,292	420	250

14 Data are not available.

B. How many renter housing units has your city/county assisted under the CDBG program for elderly, small family, large family, and/or total households? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) OF THE DATA.)

RENTER HOUSING UNITS ASSISTED

	<u>NUMBER OF UNITS</u>	<u>MEAN</u>	<u>MEDIAN</u>
1. Elderly households	11,720	161	5
2. Small family households	10,601	145	24
3. Large family households	5,606	78	2
4. Total all household groups	28,231	367	54

18 Data are not available.

GAO NOTE: Column "A" has been revised for the purposes of this supplement to indicate the sum of units for all jurisdictions responding to each question. Line 4 in parts A and B, "Total all household groups", may not equal the sum of lines 1, 2 and 3 because some respondents reported only totals or only one line of data.

Numbers appearing in the boxes marked "Data are not available" represent the number of respondents that were unable to provide the information requested or provided their best estimates.



23. In addition to the CDBG program, which of the following Federal housing programs (if any) have ever been used in your city/county? (FOR EACH FEDERAL HOUSING PROGRAM, CHECK ONE BOX UNDER PARTICIPATION.)

FEDERAL HOUSING PROGRAMS	PARTICIPATION	
	YES 1	NO 2
1. Rehabilitation Loan Program (Section 312)	71	27
2. Urban Development Action Grant Program	38	58
3. Urban Homesteading Program	14	80
4. Lower Income Rental Assistance Program (Section 8 - Existing housing)	98	2
5. Lower Income Rental Assistance Program (Section 8 - Rehabilitation housing)	73	26
6. Lower Income Rental Assistance Program (Section 8 - Newly constructed housing)	82	15
7. Mortgage Insurance for Single-Family Housing for Low- and Moderate-Income Families (Section 221(d)(2))	43	46
8. Mortgage Insurance for Multifamily Rental Housing for Low- and Moderate-Income Families (Section 221 (d)(3) and (4))	66	28
9. Mortgage Insurance and Assistance Payments for Single-Family Homes for Low- and Moderate-Income Families (Section 235 or 235 Revised)	66	31
10. Mortgage Insurance and Assistance Payments for Multifamily Rental Housing for Low- and Moderate-Income Families (Section 236)	72	23
11. Low Income Public Housing Program (Construction)	74	23
12. Public Housing Modernization Program	64	31
13. Public Housing Operating Subsidy Program	59	32
14. Flexible Subsidy Program (Troubled public housing projects)	12	82
15. Direct Loan Program for Housing the Elderly and Handicapped (Section 202)	66	28
16. Home Improvement Loan Insurance Program (Title I)	55	39
17. Farmers Home Administration Homeownership Program (Section 502)	18	75
18. Farmers Home Administration Rural Rental Housing Program (Section 515)	12	82
19. Other (SPECIFY)	8	0

GAO NOTE: A frequency distribution is shown.

CITY/COUNTY ATTITUDES ON A BLOCK GRANT PROGRAM FOR HOUSING

Questions 24 to 34 address the basic program design issues for delivering housing assistance under a block grant program.

24. Consider each of the possible housing objectives that your city/county would likely emphasize under a housing block grant program.

- A. Please indicate the three priority housing objectives if Federal funds received by your city/county for housing activities were to increase. (IN COLUMN A USE 1, 2, AND 3.)
- B. Please indicate the three priority housing objectives if Federal funds received by your city/county for housing activities were to remain the same. (IN COLUMN B USE 1, 2, AND 3.)
- C. Please indicate the three priority housing objectives if Federal funds received by your city/county for housing activities were to decrease. (IN COLUMN C USE 1, 2, AND 3.)

(FOR EACH COLUMN - A, B, C - PLACE A "1" IN THE BOX NEXT TO THE MOST IMPORTANT OBJECTIVE, A "2" IN THE BOX NEXT TO THE SECOND MOST IMPORTANT OBJECTIVE AND A "3" IN THE BOX NEXT TO THE THIRD MOST IMPORTANT OBJECTIVE.)

HOUSING OBJECTIVES	COLUMN A			COLUMN B			COLUMN C		
	PRIORITY OBJECTIVES WHEN FUNDS INCREASE (USE 1,2,3)			PRIORITY OBJECTIVES WHEN FUNDS REMAIN THE SAME (USE 1,2,3)			PRIORITY OBJECTIVES WHEN FUNDS DECREASE (USE 1,2,3)		
	1	2	3	1	2	3	1	2	3
1. Provide housing assistance to low- and moderate-income households living in substandard housing	40	17	7	44	16	6	43	16	6
2. Provide housing assistance to low- and moderate-income households living in overcrowded housing	1	2	1	1	2	1	1	2	1
3. Provide housing assistance to low- and moderate-income households paying an excessive proportion of their incomes for housing expenses	3	6	6	3	9	7	4	10	6
4. Provide housing assistance to elderly households living in substandard housing	4	11	4	4	10	8	5	10	6
5. Provide housing assistance to elderly households living in overcrowded housing	0	0	1	0	0	1	0	0	1
6. Provide housing assistance to elderly households paying an excessive proportion of their incomes for housing expenses	3	3	2	3	3	2	3	4	2
7. Provide housing assistance to non-elderly households (small and large families) living in substandard housing	1	1	3	1	3	4	2	2	5
8. Provide housing assistance to non-elderly households (small and large families) living in overcrowded housing	1	0	0	1	0	0	0	1	0
9. Provide housing assistance to non-elderly households (small and large families) paying an excessive proportion of their incomes for housing expenses	1	0	1	1	1	1	1	0	2
10. Encourage homeownership among low- and moderate-income households	3	6	10	0	3	5	0	5	4
11. Encourage homeownership among middle- and upper-income households	1	2	0	1	1	0	1	1	0
12. Increase the total available supply of decent quality owner housing units	5	12	15	5	12	8	6	8	10
13. Increase the total available supply of decent quality renter housing units	11	11	12	7	9	14	6	9	15
14. Improve the quality of blighted and deteriorated neighborhoods	12	13	20	14	15	23	13	17	17
15. Achieve greater spatial dispersion of low- and moderate-income housing outside concentrated poverty areas	0	3	5	0	3	5	0	1	8
16. Other (SPECIFY) _____	2	0	0	2	0	0	2	0	2

GAO NOTE: The frequency of responses is shown for each objective when it is ranked as priority objective 1, 2 and 3.

25. Which of the following categorical Federal housing programs SHOULD NOT be consolidated into a housing block grant program? (CHECK ALL THAT APPLY.)

<u>FEDERAL HOUSING PROGRAMS</u>	<u>SHOULD NOT BE CONSOLIDATED</u>
1. <u>Rehabilitation Loan Program (Section 312)</u>	21
2. <u>Urban Development Action Grant Program</u>	62
3. <u>Urban Homesteading Program</u>	20
4. <u>Lower Income Rental Assistance Program (Section 8 - Existing housing)</u>	24
5. <u>Lower Income Rental Assistance Program (Section 8 - Rehabilitation housing)</u>	19
6. <u>Lower Income Rental Assistance Program (Section 8 - Newly Constructed housing)</u>	22
7. <u>Mortgage Insurance for Single-Family Housing for Low- and Moderate-Income Families (Section 221(d) (2))</u>	46
8. <u>Mortgage Insurance for Multifamily Rental Housing for Low- and Moderate-Income Families (Section 221(d) (3) and (4))</u>	47
9. <u>Mortgage Insurance and Assistance Payments for Single-Family Homes for Low- and Moderate-Income Families (Section 235 and 235 Revised)</u>	39
10. <u>Mortgage Insurance and Assistance Payments for Multifamily Rental Housing for Low- and Moderate-Income Families (Section 236)</u>	37
11. <u>Low Income Public Housing Program (Construction)</u>	30
12. <u>Public Housing Modernization Program</u>	35
13. <u>Public Housing Operating Subsidy Program</u>	43
14. <u>Flexible Subsidy Program (Troubled public housing projects)</u>	35
15. <u>Direct Loan Program for Housing the Elderly and Handicapped (Section 202)</u>	29
16. <u>Home Improvement Loan Insurance Program (Title I)</u>	38
17. <u>Farmers Home Administration Homeownership Program (Section 502)</u>	37
18. <u>Farmers Home Administration Rural Rental Housing Program (Section 515)</u>	35
19. <u>Other (SPECIFY) _____</u>	2

GAO NOTE: The frequency of responses is shown.

26. Under a housing block grant program a variety of housing activities could be eligible for use by your city/county. Disregarding the present Federal program structure, how important would it be for a housing block grant program to include each of the following housing activities? (FOR EACH HOUSING ACTIVITY, CHECK ONE BOX UNDER LEVEL OF IMPORTANCE.)

HOUSING ACTIVITIES	LEVEL OF IMPORTANCE						
	Mean response	Not at all important	Somewhat important	Moderately important	Greatly important	Very greatly important	No basis to judge
	1	2	3	4	5	6	
1. Rehabilitation of owner-occupied single-family housing	4.6	2	3	4	19	71	0
2. Rehabilitation of investor-owned single-family rental housing	3.1	14	23	21	15	24	2
3. Rehabilitation or modernization of local housing authority single-family housing	2.9	16	20	18	19	12	14
4. Rehabilitation of investor-owned multi-family rental housing	3.8	6	10	23	20	40	0
5. Rehabilitation or modernization of local housing authority multi-family housing	3.3	13	13	22	25	22	3
6. Construction of single-family housing for owner-occupants	2.7	22	25	22	16	12	2
7. Construction of investor-owned single-family rental housing	2.1	41	31	11	9	6	1
8. Construction of single-family housing for local housing authority management	2.1	39	21	19	7	5	6
9. Construction of investor-owned multi-family rental housing	3.1	17	20	17	22	20	3
10. Construction of multi-family housing for local housing authority management	3.0	17	22	16	20	20	3
11. Site acquisition and clearance for construction of housing	3.6	12	9	25	16	37	0
12. Demolition	3.2	13	16	25	20	22	0
13. Acquisition, rehabilitation, and resale of single-family housing	3.3	12	24	15	22	26	1
14. Acquisition, rehabilitation, and resale of multi-family housing	3.2	8	24	28	18	22	0
15. Purchase of single-family housing for local housing authority management	1.9	38	26	23	2	1	8
16. Purchase of multi-family housing for local housing authority management	2.3	28	22	28	8	5	7
17. Purchase and resale of single-family housing to owner-occupants	2.7	21	29	18	14	13	3
18. Purchase and resale of single-family housing to investors for low- and moderate-income rental	2.2	33	27	22	6	7	5
19. Purchase and resale of multi-family rental housing to investors for low- and moderate-income rental	2.7	18	27	26	15	9	4
20. Conversion of rental units for tenant ownership	2.8	16	31	18	19	11	4
21. Conversion of rental units to facilitate access by the elderly or handicapped	3.2	7	23	25	25	18	2
22. Weatherization of single-family housing	3.9	5	7	17	40	31	0
23. Weatherization of multi-family housing	3.7	5	9	22	37	27	0
24. Emergency repairs	3.7	5	13	19	34	27	1
25. Rental assistance to low- and moderate-income families	4.0	5	5	20	25	44	1
26. Other (SPECIFY)	4.6	0	0	0	3	5	0
27. Other (SPECIFY)	4.7	0	0	0	1	2	0

GAO NOTE: A frequency distribution is shown.

27. Under a housing block grant program, how important would it be to target eligible housing assistance activities to each of the following groups? (FOR EACH GROUP, CHECK ONE BOX UNDER LEVEL OF IMPORTANCE.)

GROUPS	Mean response	LEVEL OF IMPORTANCE					
		1	2	3	4	5	6
<b>HOUSEHOLD GROUPS:</b>							
1. Elderly households	4.0	5	2	14	42	35	1
2. Small family households (1 - 4 persons)	3.6	6	9	28	30	25	1
3. Large family households (5 persons or more)	3.7	5	9	22	32	30	1
<b>HOUSEHOLD NEEDS:</b>							
4. Households living in substandard housing	4.5	1	1	4	31	60	2
5. Households living in overcrowded housing	3.6	4	9	30	38	17	1
6. Households paying an excessive proportion of their incomes for housing expenses	3.7	3	8	26	33	26	2
<b>HOUSEHOLD INCOME GROUPS:</b>							
7. Low income	4.7	0	1	2	23	69	3
8. Moderate income	4.1	0	3	17	39	36	1
9. Middle income	2.4	17	31	37	8	2	2
10. Upper income	1.2	77	14	1	0	1	3
<b>NEIGHBORHOOD AREAS:</b>							
11. Blighted and deteriorated areas	4.4	1	5	10	23	57	2
12. Areas of concentrated poverty	4.0	2	11	11	29	40	3
13. Neighborhood conservation and preservation areas	3.7	3	9	29	26	29	2
14. Neighborhood revitalization areas	4.0	0	7	21	29	38	2
15. Other areas (SPECIFY) _____	4.3	0	0	2	3	5	1
<b>OTHER GROUPS (SPECIFY):</b>							
16. _____	4.3	0	1	3	3	9	0
17. _____	4.8	0	0	0	1	3	0
18. _____	0.0	0	0	0	0	0	0

GAO NOTE: A frequency distribution is shown.

28. HUD frequently uses distribution formulas to allocate Federal subsidy resources to eligible areas. Under a housing block grant program, how important would it be to consider each of the following factors in any formula used for allocation of entitlement amounts to eligible areas? (FOR EACH ENTITLEMENT FORMULA FACTOR, CHECK ONE BOX UNDER LEVEL OF IMPORTANCE. IF ENTITLEMENT AMOUNTS SHOULD BE ALLOCATED ON SOME BASIS OTHER THAN A FORMULA, PLEASE CHECK THE BOX FOR RESPONSE CATEGORY 16 BELOW AND SPECIFY WHAT BASIS SHOULD BE USED.)

ENTITLEMENT FORMULA FACTOR	Mean response	LEVEL OF IMPORTANCE					
		1 Not at all important	2 Somewhat important	3 Moderately important	4 Greatly important	5 Very greatly important	6 No basis to judge
1. Current population	3.5	2	16	30	31	20	0
2. Poverty	4.2	1	6	14	29	47	0
3. Overcrowded housing	3.7	0	8	34	35	20	0
4. Substandard housing	4.5	0	2	8	27	62	0
5. Number of households needing housing assistance	4.6	0	0	8	26	62	0
6. Vacancy rate	3.4	7	18	26	19	24	2
7. Age of housing	3.7	4	11	31	19	33	0
8. Population growth/decline	3.6	6	17	21	23	30	1
9. Unemployment	3.4	7	15	26	29	19	1
10. Construction costs	3.2	10	18	26	25	16	2
11. Cost of living	3.4	7	14	24	32	18	2
12. Per capita income	3.3	5	16	34	25	15	1
13. City/county capacity to use funds (drawdown rate)	3.4	6	15	32	20	25	0
14. City/county capacity to plan and implement housing activities	3.8	4	7	25	31	30	0
15. Other (SPECIFY)	4.8	0	0	0	2	8	0

16.  NONE, entitlement amount should be allocated on some basis other than a formula (SPECIFY)
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

GAO NOTE: A frequency distribution is shown.

29. Consider the planning activities listed below that could be performed under a housing block grant program which incorporates most of the present categorical Federal housing programs.

A. In the absence of Federal regulations, how important would it be for your city/county to perform each of the following planning activities under a housing block grant program? (FOR EACH PLANNING ACTIVITY, CHECK ONE BOX UNDER LEVEL OF IMPORTANCE IN COLUMN A.)

B. How involved should Federal, State, regional, local and private organizations be in performing each of the following planning activities under a housing block grant program? (FOR EACH PLANNING ACTIVITY RATE EACH ORGANIZATION IN COLUMNS B1- B5 ON THE LEVEL OF INVOLVEMENT USING THE FOLLOWING SCALE: 1= NO INVOLVEMENT; 2= SOME INVOLVEMENT; 3= MODERATE INVOLVEMENT; 4= GREAT INVOLVEMENT; 5= VERY GREAT INVOLVEMENT.)

PLANNING ACTIVITIES	COLUMN A LEVEL OF IMPORTANCE						COLUMN B ORGANIZATIONS (USE 1, 2, 3, 4, OR 5)				
	Mean responses	Not at all	Somewhat	Moderately	Greatly	Very greatly	Federal	State	Regional	Local	Private
	1	2	3	4	5	B1	B2	B3	B4	B5	
1. Surveying housing conditions	4.1	0	2	23	34	39	1.5	1.3	2.0	4.6	2.0
2. Surveying housing assistance needs	4.2	0	3	18	36	41	1.6	1.4	2.1	4.7	2.1
3. Setting housing assistance objectives	4.1	3	5	11	37	42	1.6	1.4	1.8	4.7	2.1
4. Setting annual goals for housing assistance	3.8	7	7	19	32	33	1.5	1.3	1.6	4.6	1.9
5. Setting long-term goals for housing assistance	3.9	2	11	16	39	30	1.8	1.4	1.8	4.6	1.9
6. Setting priorities and selecting housing assistance activities to be funded	4.3	2	2	8	35	51	1.6	1.2	1.5	4.8	2.0
7. Selecting neighborhoods in which to locate housing projects	4.0	3	5	17	39	34	1.4	1.2	1.3	4.7	2.3
8. Selecting population groups in need of housing assistance	3.8	3	11	18	34	31	1.8	1.3	1.5	4.6	1.9
9. Preparing grant applications	3.8	3	13	18	31	32	1.6	1.3	1.5	4.6	1.6
10. Preparing applications for other sources of funds	3.8	2	11	18	40	26	1.5	1.5	1.8	4.6	1.9
11. Citizen participation in planning housing activities	3.3	2	23	34	22	17	1.4	1.2	1.5	4.3	2.6
12. A-95 Areawide Clearinghouse review	1.6	61	22	10	1	3	1.4	1.6	2.2	2.5	1.3
13. Other (SPECIFY)	5.0	0	0	0	0	3	1.8	2.2	2.3	3.8	1.6

GAO NOTE: In column "A" a frequency distribution is shown.

In column "B" the mean responses based on the scale of involvement explained in part "B" are shown.

30. Consider the implementation activities listed below that could be performed under a housing block grant program which incorporates most of the present categorical Federal housing programs.

A. In the absence of Federal regulations, how important would it be for your city/county to perform each of the following implementation activities under a housing block grant program? (FOR EACH IMPLEMENTATION ACTIVITY, CHECK ONE BOX UNDER LEVEL OF IMPORTANCE IN COLUMN A.)

B. How involved should Federal, State, regional, local and private organizations be in performing each of the following implementation activities under a housing block grant program? (FOR EACH IMPLEMENTATION ACTIVITY RATE EACH ORGANIZATION IN COLUMNS B1-B5 ON THE LEVEL OF INVOLVEMENT USING THE FOLLOWING SCALE: 1=NO INVOLVEMENT; 2=SOME INVOLVEMENT; 3=MODERATE INVOLVEMENT; 4=GREAT INVOLVEMENT; 5=VERY GREAT INVOLVEMENT.)

IMPLEMENTATION ACTIVITIES	COLUMN A LEVEL OF IMPORTANCE						COLUMN B ORGANIZATIONS (USE 1, 2, 3, 4 OR 5)				
	Mean response	Not at all	Somewhat	Moderately	Greatly	Very greatly	Federal	State	Regional	Local	Private
	1	2	3	4	5	R1	R2	R3	R4	R5	
1. Publicizing housing assistance programs	4.0	2	17	18	32	39	1.8	1.5	1.7	4.5	2.4
2. Underwriting mortgage insurance and loans	2.7	24	17	21	23	8	3.2	2.2	1.3	2.7	3.5
3. Loan management	3.0	18	19	15	30	14	1.9	1.6	1.2	3.4	3.5
4. Cost valuation	3.3	6	15	27	34	12	2.0	1.5	1.4	3.7	3.0
5. Rent valuation	3.3	8	11	35	27	12	1.9	1.5	1.6	3.7	2.9
6. Mortgage credit analysis	2.8	18	23	21	23	10	1.9	1.6	1.3	3.2	3.8
7. Architectural processing and inspection	3.6	9	8	22	34	24	1.5	1.4	1.3	4.1	2.4
8. Economic and market analysis	3.3	4	22	29	26	15	2.1	1.9	2.0	3.5	3.2
9. Wage rate analysis	2.5	23	26	29	14	4	2.3	2.1	1.8	2.8	2.1
10. Tenant income verification analysis	3.7	4	10	20	41	22	1.5	1.2	1.3	4.1	2.1
11. Legal reviews and analysis	3.4	5	14	28	30	18	2.0	1.5	1.3	3.8	2.2
12. Management of housing units	3.4	13	12	17	31	23	1.4	1.2	1.2	3.8	3.2
13. Relocation of displaced households	3.6	5	12	26	26	27	1.8	1.3	1.3	4.0	2.1
14. Counseling homeowners and tenants	3.6	3	11	29	33	21	1.5	1.4	1.4	4.1	2.6
15. Citizen participation in implementing activities	3.2	5	27	24	21	19	1.3	1.2	1.4	4.0	2.6
16. Monitor project activities	4.0	2	2	15	49	29	2.2	1.4	1.5	4.3	1.8
17. Perform project compliance reviews	3.8	2	7	27	38	23	2.7	1.6	1.3	3.9	1.6
18. Enforce sanctions/penalties for project noncompliance	3.6	5	11	26	32	23	2.9	1.6	1.3	3.7	1.3
19. Other (SPECIFY)	0.0	0	0	0	0	0	0.0	0.0	0.0	0.0	0.0

GAO NOTE: In column "A" a frequency distribution is shown.

In column "B" the mean responses based on the scale of involvement explained in part "B" are shown.



31. How involved should Federal, State, regional, local and private organizations be in performing each of the following evaluation activities under a housing block grant program? (FOR EACH EVALUATION ACTIVITY RATE EACH ORGANIZATION IN COLUMNS A1-A5 ON THE LEVEL OF INVOLVEMENT USING THE FOLLOWING SCALE: 1=NO INVOLVEMENT; 2=SOME INVOLVEMENT; 3=MODERATE INVOLVEMENT; 4=GREAT INVOLVEMENT; 5=VERY GREAT INVOLVEMENT.)

EVALUATION ACTIVITIES	ORGANIZATIONS (USE 1, 2, 3, 4 OR 5)				
	Federal A1	State A2	Regional A3	Local A4	Private A5
1. Monitor program activities	3.0	1.3	1.4	4.3	1.9
2. Conduct program fiscal audits	3.0	1.4	1.2	3.9	2.1
3. Conduct program performance reviews	3.1	1.3	1.3	4.3	1.7
4. Other (SPECIFY)	0.0	0.0	0.0	0.0	0.0

GAO NOTE: Mean responses based on the scale of involvement are shown.

32. Consider the performance criteria listed below that could be used for evaluating performance under a housing block grant program which incorporates most of the present categorical Federal housing programs.
- A. Which of the following performance criteria should be used for evaluating performance under a housing block grant program? (FOR EACH PERFORMANCE CRITERIA, CHECK ONE BOX UNDER USAGE IN COLUMN A.)
- B. For each performance criteria, which of the following sanctions would be appropriate for noncompliance with the performance criteria? (FOR EACH PERFORMANCE CRITERIA, CHECK ALL THAT APPLY UNDER SANCTIONS IN COLUMN B.)

PERFORMANCE CRITERIA	COLUMN A USAGE			COLUMN B SANCTIONS							
	1 Yes, should be used	2 No, should not be used	3 Not sure if should be used	1 No sanction should be imposed	2 Issue letter of warning	3 Reduce or limit grant payments	4 Terminate grant payment	5 Terminate grant allocations	6 Refer to the Attorney General	7 Reduce or limit grant allocations	8 Other (SPECIFY)
1. Rate of progress achieved in moving activities into execution	86	4	5	12	73	20	5	19	6	0	3
2. Rate of expenditures and obligation of grant funds	67	14	15	19	68	21	5	19	8	0	2
3. Progress achieved in meeting objectives/goals established under a housing plan	86	2	7	22	59	20	4	17	5	0	3
4. Progress achieved in meeting National housing objectives and goals	24	40	32	52	26	8	2	6	2	1	1
5. Compliance with applicable local laws and regulations	83	11	2	25	52	16	10	7	8	7	4
6. Compliance with applicable State laws and regulations	77	15	4	19	54	14	10	9	10	9	3
7. Compliance with applicable National program legislation	78	7	11	12	65	24	13	19	14	3	2
8. Compliance with applicable National program regulations	79	6	10	11	65	30	16	19	14	5	2
9. Resolution of monitoring and audit findings	79	6	11	6	55	38	18	22	17	8	2
10. Achieve and maintain capacity to plan the program	85	6	5	16	66	19	6	16	11	0	3
11. Achieve and maintain capacity to implement the program	87	4	5	12	66	25	11	21	18	0	3
12. Achieve and maintain capacity to monitor the program	80	7	9	13	70	18	5	19	13	1	3
13. Prevention of fraud, waste, and abuse	87	3	6	3	45	19	28	22	31	53	3
14. Other (SPECIFY)	0	0	0	0	0	0	0	0	0	0	0

GAO NOTE: Frequency distributions are shown in columns "A" and "B". In column "B" many respondents checked more than one sanction for each performance criterion. Therefore, responses will not total to 100.

33. ALTERNATIVE HOUSING BLOCK GRANT PROGRAM MODELS: Below are brief descriptions of four alternative models that have been identified as having the potential to be the basis for a housing block grant program. Each model considers types of spending authority and housing delivery mechanisms. Also, the divisions of responsibility between Federal and local control over the mix of resources for income maintenance, housing conservation, and housing production are addressed in each of these models.

--LONG-TERM, ALL-PURPOSE HOUSING BLOCK GRANT: This model would provide budget authority (authority provided by law to enter into obligations that will result in immediate and future outlays involving Federal Government funds) to eligible areas for use in a wide range of housing activities. Localities would plan for multiyear expenditure of funds obligated in any one year. These plans would be subject to Federal control either through contract authority (statutory authority that permits obligations to be incurred in advance of appropriations for the payment of such obligations) or percentage caps on annual outlays. Under this model, each eligible area would be responsible for determining the mix of resources and the delivery mechanism.

--SHORT-TERM, ALL-PURPOSE HOUSING BLOCK GRANT: This model is similar to the long-term housing block grant model, except that guaranteed program funding would be for a shorter time period. Each eligible area would be responsible for determining the mix of resources and the delivery mechanism.

--ALLOCATING BUDGET AUTHORITY UNDER CURRENT PROGRAMS: In this model, some or all of the current housing subsidy programs would continue to be funded. Eligible areas would be informed of their formula allocation of budget authority and could choose from a range of existing federally administered programs. This model would defer to each eligible area the responsibility of selecting a mix of those Federal programs considered appropriate to meet local housing needs.

--LIMITED PURPOSE HOUSING BLOCK GRANT: This model differs from the preceding models because it would provide funds for only certain types of activities. It would combine the funds previously allocated to one or more housing programs and substitute a formula-driven allocation designed to achieve the general purpose(s) of the replaced programs only. For example, funds for section 8 substantial and moderate rehabilitation could be combined into a rehabilitation block grant. This model would leave at the Federal level the responsibility for deciding the share of resources to be devoted to each general need category. However, it also provides that, within these limited categories, the local level would be responsible for determining the delivery mechanism.

A. Consider your city's/county's housing conditions and needs and your city's/county's capacity to plan, implement, and evaluate a housing block grant program.

How beneficial would each alternative housing block grant model be in providing the necessary housing assistance for your city/county to achieve its housing objectives and goals? (FOR EACH ALTERNATIVE HOUSING BLOCK GRANT MODEL, CHECK ONE BOX UNDER LEVEL OF BENEFIT.)

ALTERNATIVE HOUSING BLOCK GRANT PROGRAM MODELS	LEVEL OF BENEFIT						Mean response
	Little or no benefit	Some benefit	Moderate benefit	Great benefit	Very great benefit	No basis to judge	
	1	2	3	4	5	6	
1. Long-term, all-purpose model	2	9	13	23	46	5	4.1
2. Short-term, all-purpose model	5	16	35	30	5	6	3.2
3. Current programs budget authority allocation model	2	13	36	23	21	2	3.5
4. Limited purpose model	16	39	23	10	5	5	2.5
5. Other (EXPLAIN IN SPACE BELOW)	0	0	0	0	4	0	5.0

EXPLAIN:

GAO NOTE: A frequency distribution is shown.

34. Consider each of the following funding levels for housing activities in your city/county.

- A. To what extent would your city/county prefer that the Congress consolidate most of the present categorical Federal housing programs into a housing block grant program if Federal funds received by your city/county for housing activities were to increase? (IN COLUMN A CHECK ONE BOX.)
- B. To what extent would your city/county prefer that the Congress consolidate most of the present categorical Federal housing programs into a housing block grant program if Federal funds received by your city/county for housing activities were to remain the same? (IN COLUMN B CHECK ONE BOX.)
- C. To what extent would your city/county prefer that the Congress consolidate most of the present categorical Federal housing programs into a housing block grant program if Federal funds received by your city/county for housing activities were to decrease? (IN COLUMN C CHECK ONE BOX.)

LEVEL OF PREFERENCE	COLUMN A	COLUMN B	COLUMN C
	FUNDS INCREASE	FUNDS REMAIN THE SAME	FUNDS DECREASE
1. Not at all prefer	4	15	48
2. Somewhat prefer	7	21	12
3. Moderately prefer	15	24	3
4. Greatly prefer	31	16	11
5. Very greatly prefer	38	19	19
6. No basis to judge	4	4	6
MEAN RESPONSES:	4.0	3.0	2.4

GAO NOTE: Frequency distributions are shown in columns "A", "B" and "C".

**COMMENTS**

We would be interested in any additional comments you may have regarding the CDRG program and for the potential for providing housing assistance under a housing block grant program. If you would like to elaborate on any of the issues raised in this questionnaire or expand upon any of your answers, please use the space provided here.

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THANK YOU FOR YOUR COOPERATION.



# SURVEY OF EXPERIENCES IN PROVIDING LOCAL HOUSING ACTIVITIES UNDER THE COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

## INSTRUCTIONS

The purpose of this questionnaire is to obtain information concerning your housing activities under the Community Development Block Grant program. This survey is part of a review of the concept of housing assistance block grants. The essence of a block grant concept is that local officials set priorities, choose strategies, and determine specific projects and undertakings with minimal Federal participation. This survey is being conducted by the U.S. General Accounting Office, which is responsible for helping the Congress oversee all Federal expenditures.

Congress is expected to consider legislation concerning housing assistance programs in early 1982. Currently, the only Federal block grant program designed to provide housing assistance is the Community Development Block Grant (CDBG) program administered by the Department of Housing and Urban Development (HUD). Consequently, it is important to examine the experiences of localities that provide housing assistance under the CDBG program.

This questionnaire should be answered by the local official responsible for administering housing activities under the CDBG program. It may be necessary to consult with staff, other government agencies, or other sources in order to complete the questionnaire.

Please indicate in the space below the name, title, and telephone number of the person who will complete the questionnaire. This will enable our staff to call the person who is most familiar with the questionnaire if it is necessary to clarify any answers.

Your city or county was randomly selected from all cities/counties entitled to receive grant assistance under the CDBG program. Responses will be combined with those of other cities and counties. Results will not be used by any Federal agency or office to make CDBG program determinations or funding decisions affecting your city or county.

As soon as you have read the questionnaire, please complete and mail back the enclosed self-addressed stamped postcard. This will enable our staff to identify those cities/counties that were sent questionnaires but did not receive them and to follow-up and provide assistance, if needed, to those cities/counties that did receive them.

Please mail back the completed questionnaire in the enclosed self-addressed stamped envelope no later than three weeks after receiving the questionnaire. If you have any questions, please call either Gary Johnson in our Pittsburgh office at (412) 644-5903 or Tom Collis in our Washington headquarters at (202) 426-1506. Please help us; otherwise we cannot provide the Congress with information on your CDBG program experiences. A copy of our final report will be made available to you.

Thank you for your cooperation.

### PLEASE PRINT:

Name of City/ County: \_\_\_\_\_ State: \_\_\_\_\_

### Person Completing Questionnaire:

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Telephone number and area code: (       ) \_\_\_\_\_

*GAO NOTE: Local officials from 424 entitlement communities responded to this survey.*

**I. BACKGROUND INFORMATION**

Questions 1 to 10 relate to the housing conditions and needs of your city county and the housing assistance objectives and goals established by your city county to address these housing conditions and needs. Questions 11 to 13 asks for general information on the costs and benefits of housing activities under the CDBG program and your city's county's involvement in other Federal housing programs.

1. Consider your city's county's population growth or decline since 1970.

A. What was the total population growth or decline since 1970?  
(WRITE IN TOTAL POPULATION IN 1970 AND IN 1980.)

	<u>Population Ranges</u>	<u>1970 Frequency</u>	<u>1980 Frequency</u>
1. Total population in 1970	<u>Less than 250,000</u>	<u>343</u>	<u>340</u>
2. Total population in 1980	<u>250,000 to 500,000</u>	<u>50</u>	<u>47</u>
	<u>500,000 or more</u>	<u>31</u>	<u>37</u>

B. What percentage of your total population in 1970 and in 1980 was non-white?  
(WRITE IN PERCENTAGE OF NON-WHITE POPULATION IN 1970 AND IN 1980.)

	<u>Mean</u>	<u>Median</u>
1. Percentage non-white population in 1970	<u>13 %</u>	<u>9 %</u>
2. Percentage non-white population in 1980	<u>18 %</u>	<u>13 %</u>

2. What percentage of the housing stock in your city county was built during each of the following periods? (FOR EACH TIME PERIOD, WRITE IN THE PERCENTAGE OF HOUSING STOCK. GIVE YOUR BEST ESTIMATE.)

<u>Time Periods</u>	<u>Percentage of Housing Stock</u>	
1. Before 1939	<u>33 %</u>	<u>29 %</u>
2. 1939 to Present	<u>66 %</u>	<u>70 %</u>

3. Using the most recent source available, what is the **median area income** for a four-person household in your city county? (WRITE IN THE MEDIAN AREA INCOME AND THE SOURCE AND TIME PERIOD FOR THIS INFORMATION IN THE SPACE PROVIDED BELOW.)

1. MEDIAN AREA INCOME: \_\_\_\_\_ Mean Response: \$19,940      Median Response: \$21,125

SOURCE AND TIME PERIOD FOR THIS INFORMATION:

\_\_\_\_\_

4. Consider the following definitions of **households**:

**Elderly households** are one-to-two person households containing a person over 62 years of age or containing nonelderly handicapped individuals.

**Small family households** are households of four or fewer persons, excluding elderly households.

**Large family households** are households of five or more persons, excluding elderly households.

Also, consider the following definitions of **income groups**:

**Low Income** - Household income is less than 50 percent of **your** area's median income.

**Moderate income** - Household income is between 51 and 80 percent of **your** area's median income.

**Middle income** - Household income is between 81 and 120 percent of **your** area's median income.

**Upper income** - Household income is greater than 120 percent of **your** area's median income.

A. How many elderly, small family and large family households were in your city/county during the following time periods? (FOR EACH TIME PERIOD WRITE IN THE NUMBER OF ELDERLY, SMALL FAMILY AND LARGE FAMILY HOUSEHOLDS.)

Time Period	Elderly	Small Family	Large Family
1970 Mean Response	13316	42084	12495
1980 Mean Response	13406	42650	9775

B. For each of the following types of households what **percentage** of these households are in low, moderate, middle and upper income groups? (FOR EACH TYPE OF HOUSEHOLD WRITE IN THE PERCENTAGE OF HOUSEHOLDS THAT ARE IN THE LOW, MODERATE, MIDDLE AND UPPER INCOME GROUPS IN 1970 AND 1980. PERCENTAGES MUST TOTAL TO 100%.)

INCOME GROUPS	1970 Mean Responses			1980 Mean Responses		
	Elderly	Small Family	Large Family	Elderly	Small Family	Large Family
Low income	45	22	18	47	25	22
Moderate income	22	23	21	23	23	22
Middle income	17	28	30	17	27	26
Upper income	15	29	33	14	30	33
TOTAL	100%	100%	100%	100%	100%	100%

**GAO NOTE:** The response rate for questions 12A and 12B was substantially lower than the overall response rate for the survey. For question 12A about 250 local officials provided 1970 data and 126 officials provided 1980 data. For question 12B about 133 local officials provided 1970 data and 76 officials provided 1980 data.

4. Consider the following definitions of households:

- **Elderly households** are one-to-two person households containing a person over 62 years of age or containing nonelderly handicapped individuals.
- **Small family households** are households of four or fewer persons, excluding elderly households.
- **Large family households** are households of five or more persons, excluding elderly households.

Also, consider the following definitions of income groups:

- **Low Income** - Household income is less than 50 percent of your area's median income.
- **Moderate income** - Household income is between 51 and 80 percent of your area's median income.
- **Middle income** - Household income is between 81 and 120 percent of your area's median income.
- **Upper income** - Household income is greater than 120 percent of your area's median income.

A. How many elderly, small family and large family households were in your city/ county during the following time periods? (FOR EACH TIME PERIOD WRITE IN THE NUMBER OF ELDERLY, SMALL FAMILY AND LARGE FAMILY HOUSEHOLDS.)

Time Period	Elderly	Small Family	Large Family
1970 Median Response	5737	17744	5416
1980 Median Response	7196	24294	5220

B. For each of the following types of households what percentage of these households are in low, moderate, middle and upper income groups? (FOR EACH TYPE OF HOUSEHOLD WRITE IN THE PERCENTAGE OF HOUSEHOLDS THAT ARE IN THE LOW, MODERATE, MIDDLE AND UPPER INCOME GROUPS IN 1970 AND 1980. PERCENTAGES MUST TOTAL TO 100%.)

INCOME GROUPS	1970 Median Responses			1980 Median Responses		
	Elderly	Small Family	Large Family	Elderly	Small Family	Large Family
Low income	50	21	14	50	21	17
Moderate income	19	20	19	23	19	20
Middle income	15	25	29	13	24	25
Upper income	12	29	34	11	29	31
TOTAL	100%	100%	100%	100%	100%	100%

See GAO note on previous page.



5. Consider owner housing units located in your city/ county. Owner housing units include all occupied nonseasonal units, regardless of structure type, and all nonseasonal vacant units available for sale only. (IN PARTS 5A, 5B, AND 5C, IF THE NUMBER OF OCCUPIED AND VACANT UNITS IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL OF ALL OWNER HOUSING UNITS. IF DATA ARE NOT AVAILABLE BY THE TOTAL OF ALL OWNER HOUSING UNITS PLEASE CHECK THE BOX. GIVE YOUR BEST ESTIMATE.)

A. How many occupied, vacant, and/or total owner housing units are in standard condition? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

**Owner Housing Units In Standard Condition**

	Total Number of Units	Mean	Median
1. Owner-occupied units	13,533,836	35,899	16,750
2. Vacant homeowner units	246,313	659	284
3. Total all owner housing units	14,267,926	36,305	16,670

Data are not available.

B. How many occupied, vacant, and/or total owner housing units are currently in substandard condition? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

**Owner Housing Units In Substandard Condition**

	Total Number of Units	Mean	Median
1. Owner-occupied units	1,255,655	3385	1208
2. Vacant homeowner units	67,968	187	50
3. Total all owner housing units	1,644,392	4327	1352

Data are not available.

C. How many occupied, vacant, and/or total owner housing units in substandard condition are suitable for rehabilitation (suitable means that the total rehabilitation costs do not exceed 75 percent of the after rehabilitation market value of the units)? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

**Owner Housing Units In Substandard Condition Suitable For Rehabilitation**

	Total Number of Units	Mean	Median
1. Owner-occupied units	935,971	2622	949
2. Vacant homeowner units	45,267	128	32
3. Total all owner housing units	1,267,464	3389	1001

Data are not available.

**GAO NOTE:** Column "A" has been revised for the purpose of this supplement to present the total number of units reported by all responding officials. Line 3 "Total...housing units" may not equal the sum of lines 1 and 2 because some respondents reported only total units or only one line of data. Column "B" has been revised to present mean and median responses. Numbers appearing in the boxes marked "Data are not available." represent the number of officials that were either unable to provide the information requested or provided their best estimate.

6. Consider **renter housing units** located in your city/county. Renter housing units include all nonseasonal units occupied by renters, regardless of structure type, and all nonseasonal vacant units available for rent. (IN PARTS 6A, 6B, AND 6C, IF THE NUMBER OF OCCUPIED AND VACANT UNITS IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL OF ALL RENTER HOUSING UNITS. IF DATA ARE NOT AVAILABLE BY THE TOTAL OF ALL RENTER HOUSING UNITS PLEASE CHECK THE BOX. GIVE YOUR BEST ESTIMATE.)

A. How many occupied, vacant, and/or total **renter housing units** are in **standard** condition? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

**Renter Housing Units In Standard Condition**

	<u>Total Number of Units</u>	<u>Mean</u>	<u>Median</u>
1. Renter-occupied units	<u>8,455,543</u>	<u>22548</u>	<u>10844</u>
2. Vacant rental units	<u>335,474</u>	<u>902</u>	<u>413</u>
3. Total all renter housing units	<u>9,029,983</u>	<u>23095</u>	<u>10858</u>

Data are not available.

B. How many occupied, vacant, and/or total **renter housing units** are in **substandard** condition? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

**Renter Housing Units In Substandard Condition**

	<u>Total Number of Units</u>	<u>Mean</u>	<u>Median</u>
1. Renter-occupied units	<u>2,113,219</u>	<u>5742</u>	<u>1585</u>
2. Vacant rental units	<u>162,307</u>	<u>451</u>	<u>100</u>
3. Total all renter housing units	<u>2,315,208</u>	<u>6174</u>	<u>1755</u>

Data are not available.

C. How many occupied, vacant, and/or total **renter housing units** in **substandard** condition are currently suitable for rehabilitation (suitable means that total rehabilitation costs do not exceed 75 percent of the after rehabilitation market value of the units)? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

**Renter Housing Units In Substandard Condition Suitable For Rehabilitation**

	<u>Total Number of Units</u>	<u>Mean</u>	<u>Median</u>
1. Renter-occupied units	<u>1,553,394</u>	<u>4376</u>	<u>1124</u>
2. Vacant rental units	<u>105,171</u>	<u>298</u>	<u>65</u>
3. Total all renter housing units	<u>1,709,086</u>	<u>4607</u>	<u>1256</u>

Data are not available.

See GAO note on page 43 of this survey.

7. For a household in your city/county, what minimum percentage of monthly adjusted household income (adjusted by, for example, household size, taxes, etc.) paid for housing expenses (such as mortgage payment, rent, utilities, etc.) is considered excessive? (CHECK ONE BOX.)

**Percentage Of Household Income Considered Excessive**

- 1. Household pays 20 percent of income for housing expenses
- 2. Household pays 25 percent of income for housing expenses
- 3. Household pays 30 percent of income for housing expenses
- 4. Household pays 35 percent of income for housing expenses
- 5. Household pays 40 percent of income for housing expenses

2
112
137
102
41

Mean Response = 3.2  
or between 30 and  
35% of household  
income.

8. Consider the household types in need of housing assistance in your city/county. Need means the household is occupying a substandard or overcrowded unit or is paying an excessive percentage of income for housing expenses. (IN PARTS 8A AND 8B, IF THE NUMBER OF ELDERLY, SMALL FAMILY, AND LARGE FAMILY HOUSEHOLDS IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL OF ALL HOUSEHOLD TYPES. IF DATA ARE NOT AVAILABLE BY THE TOTAL OF ALL HOUSEHOLD TYPES PLEASE CHECK THE BOX. GIVE YOUR BEST ESTIMATE.)

A. How many elderly, small family, large family, and/or total households occupying owner housing units are in need of housing assistance? (IN COLUMN A WRITE IN THE NUMBER OF HOUSEHOLDS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

**Owner Households In Need of Housing Assistance**

	<u>Total Number of Households</u>	<u>Mean</u>	<u>Median</u>
1. Elderly households	454,512	1222	461
2. Small family households	440,316	1184	428
3. Large family households	251,332	674	248
4. Total all household types	1,224,941	3165	1310

Data are not available.

B. How many elderly, small family, large family, and/or total households occupying renter housing units are in need of housing assistance? (IN COLUMN A WRITE IN THE NUMBER OF HOUSEHOLDS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) AND TIME PERIOD(S) OF THE DATA.)

**Renter Households In Need of Housing Assistance**

	<u>Total Number of Households</u>	<u>Mean</u>	<u>Median</u>
1. Elderly households	1,260,137	3376	1057
2. Small family households	2,131,007	5744	2015
3. Large family households	535,425	1443	448
4. Total all households	3,916,021	10,172	3776

Data are not available.

See GAO note on page 43 of this survey.

9. Consider the 3-year period covered in your most recent Housing Assistance Plan. (IN PARTS 9A, 9B, 9C, 9D, 9E, AND 9F, IF THE NUMBER OF UNITS IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL UNITS. IF DATA ARE NOT AVAILABLE BY THE TOTAL PLEASE CHECK THE APPROPRIATE BOX. GIVE YOUR BEST ESTIMATE.)

A. Based on your Housing Assistance Plan, how many substandard housing units does your city/county plan to rehabilitate for each of the following types of housing units? (WRITE IN THE NUMBER OF UNITS.)

	Total	Mean	Median
1. Owner housing units	145,850	361	180
2. Renter housing units	216,458	536	169
3. Total all housing unit types	376,003	902	426

Data are not available.

B. Based on your Housing Assistance Plan, how many substandard housing units does your city/county plan to rehabilitate for each of the following household types? (WRITE IN THE NUMBER OF UNITS.)

	Total	Mean	Median
1. Elderly households	114,293	308	134
2. Small family households	167,986	454	186
3. Large family households	58,178	157	65
4. Total all household types	375,200	908	428

Data are not available.

C. Based on your Housing Assistance Plan, how many substandard housing units does your city/county plan to rehabilitate for each of the following household income groups? (WRITE IN THE NUMBER OF UNITS.)

	Total	Mean	Median
1. Low income households	130,388	609	190
2. Moderate income households	41,016	193	35
3. Middle income households	1,213	6	0
4. Upper income households	241	1	0
5. Total all household income groups	198,636	838	289

Data are not available.

(76,297)<sup>A/</sup>

(1045)<sup>A/</sup>

(642)<sup>A/</sup>

D. Based on your Housing Assistance Plan, how many new assisted housing units are planned for construction for each of the following types of housing units? (WRITE IN THE NUMBER OF UNITS.)

	Total	Mean	Median
1. Owner housing units	38,433	94	0
2. Renter housing units	185,567	453	250
3. Total all housing unit types	231,042	559	290

Data are not available.

E. Based on your Housing Assistance Plan, how many new assisted housing units are planned for construction for each of the following household types? (WRITE IN THE NUMBER OF UNITS.)

	Total	Mean	Median
1. Elderly households	68,548	175	100
2. Small family households	107,132	273	140
3. Large family households	37,185	95	37
4. Total all household types	230,570	561	294

Data are not available.

F. Based on your Housing Assistance Plan, how many new assisted housing units are planned for construction for each of the following household income groups? (WRITE IN THE NUMBER OF UNITS.)

	Total	Mean	Median
1. Low income households	66,921	303	132
2. Moderate income households	29,575	135	8
3. Middle income households	2,403	11	0
4. Upper income households	450	1	0
5. Total all household income groups	109,904	458	225

Data are not available.

(82447)<sup>A/</sup>

(833)<sup>A/</sup>

(430)<sup>A/</sup>

GAO NOTE: See GAO note on page 43 of this survey.

<sup>A/</sup>This number represents the sum of low and moderate income households provided by officials that were unable to distinguish between low and moderate income households.

10. Consider each of the possible housing objectives listed below.

A. Please indicate the **three priority housing objectives** your city county currently has. (UNDER PRIORITY OBJECTIVE IN COLUMN A, PLACE A "1" IN THE BOX NEXT TO THE MOST IMPORTANT HOUSING OBJECTIVE, A "2" IN THE BOX NEXT TO THE SECOND MOST IMPORTANT HOUSING OBJECTIVE, AND A "3" IN THE BOX NEXT TO THE THIRD MOST IMPORTANT HOUSING OBJECTIVE.)

B. Please indicate the **three housing objectives** on which your current CDBG housing activities have the most impact. (UNDER OBJECTIVE AFFECTED MOST BY CDBG HOUSING ACTIVITIES IN COLUMN B, PLACE A "1" IN THE BOX NEXT TO THE HOUSING OBJECTIVE MOST AFFECTED BY CDBG HOUSING ACTIVITIES, A "2" IN THE BOX NEXT TO THE HOUSING OBJECTIVE SECOND MOST AFFECTED BY CDBG HOUSING ACTIVITIES, AND A "3" IN THE BOX NEXT TO THE HOUSING OBJECTIVE THIRD MOST AFFECTED BY CDBG HOUSING ACTIVITIES.)

Housing Objectives	Column A			Column B		
	Priority Objectives (Use 1, 2 & 3)			Objective Affected Most By CDBG Housing Activities (Use 1, 2 & 3)		
	1	2	3	1	2	3
1. Provide housing assistance to low- and moderate-income households living in substandard housing	156	74	35	155	78	36
2. Provide housing assistance to low- and moderate-income households living in overcrowded housing	2	3	3	1	3	5
3. Provide housing assistance to low- and moderate-income households paying an excessive proportion of their income for housing expenses	14	28	19	6	12	17
4. Provide housing assistance to elderly households living in substandard housing	19	48	31	28	49	30
5. Provide housing assistance to elderly households living in overcrowded housing	0	1	2	0	0	1
6. Provide housing assistance to elderly households paying an excessive proportion of their income for housing expenses	8	9	12	5	8	6
7. Provide housing assistance to non-elderly households (small and large families) living in substandard housing	2	11	24	2	11	30
8. Provide housing assistance to non-elderly households (small and large families) living in overcrowded housing	0	0	3	0	0	1
9. Provide housing assistance to non-elderly households (small and large families) paying an excessive proportion of their income for housing expenses	3	5	5	5	6	1
10. Encourage homeownership among low- and moderate-income households	3	7	37	2	7	36
11. Encourage homeownership among middle- and upper-income households	1	1	5	1	1	1
12. Increase or maintain the total available supply of decent quality owner housing units	39	68	55	36	67	74
13. Increase or maintain the total available supply of decent quality rental housing units	19	42	50	17	23	47
14. Improve the quality of blighted and deteriorated neighborhoods	102	73	76	113	96	76
15. Achieve greater spatial dispersion of low- and moderate-income housing outside of concentrated poverty areas	2	6	13	2	6	11
16. Other (SPECIFY)	12	6	9	4	9	3

GAO NOTE: The frequency of responses is shown for each housing objective when it ranked as priority objective 1, 2 and 3.

11. For each CDBG program year that your city/ county has participated in (including your current CDBG program year) please provide the following information.

- A. What was the date each program year started and ended?
- B. (1) What was the total amount of CDBG funds allocated to your city/ county and. (2) what percentage of these total CDBG funds was used for administrative purposes? (IN 1000 s.)
- C. How much of the total CDBG funds allocated to your city/ county was used for the following housing and housing-related activities? (IF THE AMOUNT OF ALLOCATED FUNDS USED FOR EACH ACTIVITY IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL FUNDS USED FOR HOUSING AND HOUSING-RELATED ACTIVITIES.)
- D. What percentage of the total CDBG housing funds was used for administrative purposes?

**CDBG Program Participation**

**CDBG Program Activities And Costs**

**A. Dates Of Program Year:**

- 1. Month/year program started
- 2. Month/year program ended

**B. Total CDBG Fund Allocations:**

- 1. Total amount (in thousands) of CDBG funds allocated for all activities (housing and non-housing activities)
- 2. Percentage of total CDBG funds used for administrative purposes

**C. Amount (in thousands) Of Allocated Funds Used For Housing And Housing-Related Activities:**

- 1. Rehabilitation of publicly owned residential structures
- 2. Public housing modernization
- 3. Rehabilitation of privately owned properties
- 4. Demolition
- 5. Other (SPECIFY) \_\_\_\_\_

6. Total funds used for housing and housing-related activities

**D. Administrative Costs For CDBG Housing Activities:**

- 1. Percentage of total CDBG housing funds used for administrative costs (housing and housing-related activities only)

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7
	1975	1976	1977	1978	1979	1980	1981
	1976	1977	1978	1979	1980	1981	1982
Sum of All Responses:							
1. Total amount (in thousands) of CDBG funds allocated for all activities (housing and non-housing activities)	\$923731	\$1073343	\$1248723	\$1524831	\$1690171	\$1742463	\$1608926
2. Percentage of total CDBG funds used for administrative purposes	- %	- %	- %	- %	- %	- %	- %
Sum of All Responses:							
1. Rehabilitation of publicly owned residential structures	\$5874	\$5994	\$4054	\$37495	\$58420	\$55990	\$24498
2. Public housing modernization	\$6119	\$10621	\$10152	\$22984	\$15839	\$10686	\$10640
3. Rehabilitation of privately owned properties	\$91207	\$122475	\$158738	\$232389	\$338645	\$382123	\$369073
4. Demolition	\$18248	\$18083	\$18335	\$46268	\$42707	\$40557	\$29280
5. Other (SPECIFY) _____	\$64727	\$77365	\$76562	\$130068	\$199183	\$198785	\$123708
6. Total funds used for housing and housing-related activities	\$195166	\$232929	\$298119	\$475690	\$689203	\$729403	\$569300
1. Percentage of total CDBG housing funds used for administrative costs (housing and housing-related activities only)	- %	- %	- %	- %	- %	- %	- %

**GAO NOTE:** Line 6 of part C may not equal the sum of lines 1 through 5 in part C because some respondents entered only totals or only a few lines of data which were insufficient to derive a total. Eighty-eight percent of our respondents reported that 1975 was their first year of participation in CDBG.

11. For each CDBG program year that your city/ county has participated in (including your current CDBG program year) please provide the following information.

- A. What was the date each program year started and ended?
- B. (1) What was the total amount of CDBG funds allocated to your city/ county and, (2) what percentage of these total CDBG funds was used for administrative purposes? (IN 1000's.)
- C. How much of the total CDBG funds allocated to your city/ county was used for the following housing and housing-related activities? (IF THE AMOUNT OF ALLOCATED FUNDS USED FOR EACH ACTIVITY IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL FUNDS USED FOR HOUSING AND HOUSING-RELATED ACTIVITIES.)
- D. What percentage of the total CDBG housing funds was used for administrative purposes?

**CDBG Program Participation**

**CDBG Program Activities And Costs**

**A. Dates Of Program Year:**

- 1. Month/year program started
- 2. Month/year program ended

**B. Total CDBG Fund Allocations:**

- 1. Total amount (in thousands) of CDBG funds allocated for all activities (housing and non-housing activities)
- 2. Percentage of total CDBG funds used for administrative purposes

**C. Amount (in thousands) Of Allocated Funds Used For Housing And Housing-Related Activities:**

- 1. Rehabilitation of publicly owned residential structures
- 2. Public housing modernization
- 3. Rehabilitation of privately owned properties
- 4. Demolition
- 5. Other (SPECIFY) \_\_\_\_\_

- 6. Total funds used for housing and housing-related activities

**D. Administrative Costs For CDBG Housing Activities:**

- 1. Percentage of total CDBG housing funds used for administrative costs (housing and housing-related activities only)

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7
	1975	1976	1977	1978	1979	1980	1981
	1976	1977	1978	1979	1980	1981	1982
	Mean Responses:						
	\$2463	\$2795	\$3202	\$3784	\$4153	\$4271	\$4094
	9.0%	8.6%	8.5%	9.6%	10.3%	11.0%	11.4%
	Mean Responses:						
	\$17	\$17	\$11	\$99	\$151	\$144	\$66
	\$17	\$29	\$28	\$60	\$41	\$28	\$29
	\$255	\$333	\$420	\$588	\$847	\$951	\$965
	\$47	\$50	\$45	\$120	\$111	\$106	\$81
	\$189	\$221	\$214	\$348	\$524	\$522	\$347
	\$640	\$691	\$753	\$1252	\$1758	\$1833	\$1581
	Mean Responses:						
	9.3%	10.2%	11.3%	12.9%	13.3%	14.6%	15.1%

See GAO note on page 48 of this survey.

11. For each CDBG program year that your city/ county has participated in (including your current CDBG program year) please provide the following information.

A. What was the date each program year started and ended?

B. (1) What was the total amount of CDBG funds allocated to your city/ county and, (2) what percentage of these total CDBG funds was used for administrative purposes? (IN 1000's.)

C. How much of the total CDBG funds allocated to your city/ county was used for the following housing and housing-related activities? (IF THE AMOUNT OF ALLOCATED FUNDS USED FOR EACH ACTIVITY IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL FUNDS USED FOR HOUSING AND HOUSING-RELATED ACTIVITIES.)

D. What percentage of the total CDBG housing funds was used for administrative purposes?

CDBG Program Participation

CDBG Program Activities And Costs

A. Dates Of Program Year:

1. Month year program started
2. Month year program ended

B. Total CDBG Fund Allocations:

1. Total amount (in thousands) of CDBG funds allocated for all activities (housing and non-housing activities)
2. Percentage of total CDBG funds used for administrative purposes

C. Amount (in thousands) Of Allocated Funds Used For Housing And Housing-Related Activities:

1. Rehabilitation of publicly owned residential structures
2. Public housing modernization
3. Rehabilitation of privately owned properties
4. Demolition
5. Other (SPECIFY) \_\_\_\_\_

6. Total funds used for housing and housing-related activities

D. Administrative Costs For CDBG Housing Activities:

1. Percentage of total CDBG housing funds used for administrative costs (housing and housing-related activities only)

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7
	1975	1976	1977	1978	1979	1980	1981
	1976	1977	1978	1979	1980	1981	1982
Median Responses:							
	\$903	\$1224	\$1461	\$1752	\$1799	\$1850	\$1728
	8.0%	8.0%	7.5%	9.0%	10.0%	11.0%	11.0%
Median Responses:							
	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	\$85	\$138	\$200	\$250	\$347	\$386	\$404
	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	\$0	\$1	\$16	\$20	\$31	\$35	\$28
	\$179	\$249	\$343	\$418	\$500	\$543	\$554
Median Responses:							
	1.0%	6.0%	8.0%	10.0%	11.0%	11.0%	13.0%

See GAO note on page 48 of this survey.



12. For all CDBG program years, consider the types of households that have benefitted from the housing units assisted using the CDBG funds. (IN PARTS 12A AND 12B, IF THE NUMBER OF UNITS ASSISTED FOR EACH HOUSEHOLD TYPE IS NOT AVAILABLE PLEASE PROVIDE THE TOTAL OF UNITS ASSISTED FOR ALL HOUSEHOLD TYPES. IF DATA ON THE TOTAL NUMBER OF UNITS ARE NOT AVAILABLE PLEASE CHECK THE BOX. GIVE YOUR BEST ESTIMATE.)

A. How many owner housing units has your city/ county assisted under the CDBG program for elderly, small family, large family, and/or total households? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) OF THE DATA.)

**Owner Housing Units In Assisted**

	<u>Total Number of Units</u>	<u>Mean</u>	<u>Median</u>
1. Elderly households	<u>75321</u>	<u>226</u>	<u>94</u>
2. Small family households	<u>75573</u>	<u>227</u>	<u>94</u>
3. Large family households	<u>24978</u>	<u>75</u>	<u>28</u>
4. Total all household groups	<u>232673</u>	<u>604</u>	<u>268</u>

Data are not available.

B. How many renter housing units has your city/ county assisted under the CDBG program for elderly, small family, large family, and/or total households? (IN COLUMN A WRITE IN THE NUMBER OF UNITS AND IN COLUMN B WRITE IN THE ORIGINAL SOURCE(S) OF THE DATA.)

**Renter Housing Units Assisted**

	<u>Total Number of Units</u>	<u>Mean</u>	<u>Median</u>
1. Elderly households	<u>42821</u>	<u>135</u>	<u>5</u>
2. Small family households	<u>67226</u>	<u>211</u>	<u>20</u>
3. Large family households	<u>18808</u>	<u>59</u>	<u>4</u>
4. Total all household groups	<u>181338</u>	<u>511</u>	<u>60</u>

Data are not available.

**GAO NOTE:** See GAO note on page 43 of this survey.

13. In addition to the CDBG program, which of the following Federal housing programs (if any) have ever been used in your city/ county? (FOR EACH FEDERAL HOUSING PROGRAM, CHECK ONE BOX UNDER PARTICIPATION.)

Federal Housing Programs	Participation	
	Yes	No
	1	2
1. Rehabilitation Loan Program (Section 312)	288	136
2. Urban Development Action Grant Program	131	283
3. Urban Homesteading Program	62	350
4. Lower Income Rental Assistance Program (Section 8 - Existing housing)	411	11
5. Lower Income Rental Assistance Program (Section 8 - Rehabilitation housing)	278	138
6. Lower Income Rental Assistance Program (Section 8 - Newly constructed housing)	341	76
7. Mortgage Insurance for Single-Family Housing for Low- and Moderate-Income Families (Section 221(d)(2))	138	258
8. Mortgage Insurance for Multifamily Rental Housing for Low- and Moderate-Income Families (Section 221(d)(3) and (4))	256	153
9. Mortgage Insurance and Assistance Payments for Single-Family Homes for Low- and Moderate-Income Families (Section 235 or 235 Revised)	284	128
10. Mortgage Insurance and Assistance Payments for Multifamily Rental Housing for Low- and Moderate-Income Families (Section 236)	275	134
11. Low Income Public Housing Program (Construction)	298	117
12. Public Housing Modernization Program	233	181
13. Public Housing Operating Subsidy Program	232	174
14. Flexible Subsidy Program (Troubled public housing projects)	52	343
15. Direct Loan Program for Housing the Elderly and Handicapped (Section 202)	240	171
16. Home Improvement Loan Insurance Program (Title I)	192	204
17. Farmers Home Administration Homeownership Program (Section 502)	75	332
18. Farmers Home Administration Rural Rental Housing Program (Section 515)	53	352
19. Other (SPECIFY) _____	64	7

GAO NOTE: The frequency of responses is shown.

**II. CITY/COUNTY HOUSING EXPERIENCES UNDER THE CDBG PROGRAM**

14. Consider **ALL** of the housing activities that have **EVER** been financed by the **CDBG program** in your city/ county during the period January 1, 1975 to June 30, 1981. Please examine each of the financing methods listed below.

A. Check the box next to **ALL** finance methods which your city/ county has **ever** used to finance housing activities **under** the **CDBG program**.

**Finance Methods**

**FG-Full Grant:** The grantee uses CDBG funds to pay **ALL** costs. The recipient is not required to make repayments. 342

**PG-Partial Grant:** The grantee uses CDBG funds to pay only a **portion** of the costs. The remaining part is financed by outside sources of funds. The recipient is not required to repay the grant. 288

**CG-Conditional Grant:** A conditional grant **must** be repaid if the recipient does not meet prespecified conditions, such as occupying the property for a certain period of time. 188

**FL-Full Loan:** The grantee uses CDBG funds to make loans to recipients to cover **ALL** of the costs. The loans usually carry a below market interest rate and a longer term of repayment than are available from private lenders. 233

**PL-Partial Loan:** The grantee uses CDBG funds to make loans at below market interest rates to cover only a **portion** of the costs. The remaining part is financed by outside sources of funds. 187

**FOR-Forgivable Loan:** The grantee uses CDBG funds to make loans to cover all or a portion of the costs. The recipient is not required to repay the loan if certain conditions, such as property occupancy, are met. 83

**DFL-Deferred Loan:** The grantee uses CDBG funds to make non-interest bearing loans to cover all or a portion of the costs. The loan is secured by a lien on proceeds on the sale of the property when title is transferred. 208

**LG-Loan Guarantee:** The grantee deposits CDBG funds in an account with a private lending institution. The funds are used to guarantee, either in full or in part, repayment of loans made by private lending institutions. 81

**EPL-Equity Participation Loan:** The grantee uses CDBG and private funds to provide reduced mortgage amounts for borrowers for rehabilitation or purchase and rehabilitation. The grantee retains a profit share in the property until it is sold and the proceeds divided. 18

**ISP-Interest Subsidy Payment:** A private financial institution makes the loan to the property owner at market interest rates to cover all or a portion of costs. The grantee uses CDBG funds to pay a portion of the monthly payment to the lender, thereby creating a below the market loan for the borrower. The grantee usually pays the total subsidy amount at loan settlement instead of making monthly payments. 185

**RAP-Rental Assistance Payments:** Payments to tenants or property owners to assist renters paying rent in excess of specified rent to income ratios. 81

**GS-Grantee Service:** The grantee provides a service to the housing recipient, the recipient would **not** receive any funds. 140

Other (*PLEASE SPECIFY*): \_\_\_\_\_ 101  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

GAO NOTE: a frequency distribution is shown.

15. **STEP 1:** Please examine **EACH** of the housing activities below. **CHECK** the box next to **ALL** housing activities which your city/county has financed with CDBG program funds (regardless of the amount of funding). For example, if your city/county performs rehabilitation of owner-occupied single-family housing, then you would check the box next to Housing Activity **CODE 1**. Your response should look as follows: (SEE DIRECTLY BELOW.)

Note: ANSWER FOR BOTH SHADED and UNSHADED BOXES.

15. **STEP 2:** **CHECK** the box under **ALL** finance methods used. For example, if your city/county finances rehabilitation of owner-occupied single-family housing with a full grant, a partial loan, and an interest subsidy payment then you would check the box under full grant, the box under partial loan, and the box under interest subsidy payment for Housing Activity **CODE 1**. Your response should look as follows: (SEE DIRECTLY BELOW.)

CDBG Funded Activities	Code	Housing Activities Description	Finance Methods Codes														
			FG	PG	CG	FL	PL	FOR	DFL	LG	EPL	ISP	RAP	GS	OTH		
✓	1	Rehabilitation of owner-occupied single-family housing (1-4 units)	✓				✓						✓				

Step 1 - QUESTION 15		
CDBG Funded Activities	Code	Housing Activities Description
416	1	Rehabilitation of owner-occupied single-family housing (1-4 units)
167	2	Rehabilitation of investor-owned single-family rental housing
163	3	Rehabilitation of investor-owned multi-family rental housing
99	4	Rehabilitation or modernization of local housing authority multi-family housing
29	5	Construction of multi-family housing for local housing authority management
42	6	Construction of single-family housing for owner-occupants
24	7	Construction of investor-owned multi-family housing for rental to low-income families
31	8	Construction of multi-family housing by non-profit groups for rental to low-income families
197	9	Site acquisition and clearance for construction of housing
141	10	Demolition for construction of housing
101	11	Acquisition, rehabilitation, and resale of single-family housing
36	12	Acquisition, rehabilitation, and resale of multi-family housing
11	13	Purchase of multi-family housing for local housing authority management
43	14	Purchase and resale of single-family housing to owner-occupants
19	15	Conversion of rental units for tenant ownership
41	16	Conversion of rental units to facilitate access by the elderly or handicapped
192	17	Weatherization of single-family housing
49	18	Weatherization of multi-family housing
230	19	Emergency repairs
60	20	Other (SPECIFY)

Step 2 - QUESTION 15														
Finance Methods Codes														
FG	PG	CG	FL	PL	FOR	DFL	LG	EPL	ISP	RAP	GS	OTH		
Full Grant	Partial Grant	Conditional Grant	Full Loan	Partial Loan	Forgivable Loan	Deferred Loan	Loan Guarantee	Equity Participation Loan	Interest Subsidy Payment	Rental Assistance Payment	Grantee Service	Other		
258	217	130	210	148	67	190	58	3	138	2	62	22		
9	47	16	75	44	5	15	19	3	52	6	20	9		
8	37	18	69	54	4	17	19	4	47	4	14	13		
68	24	1	1	3	1	1	1	0	1	2	5	4		
8	10	3	0	0	1	1	1	0	0	0	6	2		
8	13	4	4	6	1	3	2	2	3	0	8	11		
1	5	3	0	3	0	1	0	1	1	2	6	4		
3	19	3	2	5	1	1	1	0	0	2	5	2		
87	44	18	5	4	2	6	1	1	0	5	19	46		
76	13	6	2	2	0	6	0	0	0	1	19	29		
20	31	7	21	12	4	12	3	3	5	1	13	24		
8	9	7	4	7	1	4	1	0	2	0	5	4		
3	3	1	1	1	0	0	0	0	0	0	1	1		
8	10	3	11	10	0	4	3	0	3	1	8	5		
1	6	3	6	7	1	3	1	0	1	0	6	1		
29	10	2	6	5	1	5	3	0	6	1	3	2		
129	68	30	49	30	10	41	8	1	24	1	25	8		
26	15	5	11	8	1	4	1	0	5	1	5	2		
156	54	46	50	26	16	38	4	1	15	2	19	4		
26	9	9	2	7	3	3	0	1	1	3	17	5		

GAO NOTE: For each housing activity listed under step 1 of question 15, we show the number of local officials indicating the activity was financed under CDBG. Under step 2 of question 15, we show the frequency of occurrence of each housing intervention as reported by the 424 respondents.

15 **STEP 3: WRITE IN** the **CODES** for **ALL** finance methods which are **NOT** used in combination but are used **SEPARATELY**. And then, for those finance methods which are used in combination **WRITE IN** the **COMBINATION CODES**. For example, regardless of the finance methods you have checked if your city county financed rehabilitation of owner-occupied single-family housing with a full grant (FG), a partial loan (PL), and the combination of a partial loan (PL) and an interest subsidy payment (ISP) then you would **WRITE IN**: "FG, PL" in the space for single finance methods **AND** "PL + ISP" for combination finance methods for Housing Activity **CODE 1**. Your response should look as follows: (*SEE DIRECTLY BELOW*.)

	Write In Single Finance Method Codes	Write In Combination Finance Method Codes
	FG, PL	PL + ISP
<b>Step 3 - QUESTION 15</b>		
	Write In Single Finance Method Codes	Write In Combination Finance Method Codes
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		

**INSTRUCTIONS:** Questions 16 to 34 should be answered **ONLY** for the **SHADED BOXES** in Question 15 (*SEE STEP 2.*) **TASKS 1 TO 5 BELOW SHOULD BE PERFORMED FOR EACH HOUSING ACTIVITY.**

**TASK 1:** Please examine the **SHADED BOXES** associated with Housing Activity Code 1 (Rehabilitation of Single-Family Owner-Occupied Units). If **ANY** of the finance methods you have checked are located in a **SHADED BOX** on this line **CONTINUE TO TASK 2.** If you have **NOT** checked any finance methods on this line **OR** if **THE BOXES ARE NOT SHADED** for the finance methods you have checked on this line **CONTINUE TO TASK 5.**

**REMEMBER IN TASKS 1-5 ANSWER FOR SHADED BOXES ONLY.**

**TASK 2:** If the finance method located in a **SHADED BOX** was used **SEPARATELY WRITE IN THE HOUSING ACTIVITY CODE (1) AND THE FINANCE METHOD CODES** (for example, FG, FL, RAP, etc.) in the columns to the right. **WRITE ONE HOUSING ACTIVITY CODE AND ONE FINANCE METHOD CODE PER COLUMN. CONTINUE TO TASK 3. IF MORE THAN NINE COLUMNS ARE NEEDED PLEASE CALL THE TELEPHONE NUMBER LISTED IN THE INSTRUCTIONS ON PAGE 1.**

**TASK 3:** If the finance method located in a **SHADED BOX** was used in **COMBINATION** with one or more finance methods (regardless if the box is shaded for the finance method used in combination) **WRITE IN THE HOUSING ACTIVITY CODE (1) AND THE FINANCE METHOD CODE COMBINATION** (for example, FG + FL, would be entered if FG or FL was shaded) in the columns to the right. **WRITE ONE HOUSING ACTIVITY CODE AND ONE COMBINATION FINANCE METHOD CODE PER COLUMN. CONTINUE TO TASK 4.**

**TASK 4:** Answer Questions 16 to 34 **FOR EACH COLUMN THAT YOU HAVE ENTERED A HOUSING ACTIVITY CODE AND A FINANCE METHOD CODE (EITHER SINGLE OR COMBINATION FINANCE METHOD CODES). FOR EACH COLUMN THINK ONLY ABOUT ONE HOUSING ACTIVITY AND ITS FINANCE METHOD WHEN ANSWERING QUESTIONS 16 TO 34.**

**TASK 5: REPEAT TASKS 1 TO 4 FOR EACH HOUSING ACTIVITY, THEN CONTINUE TO QUESTION 16.**

---

16. What was the most recent calendar year in which this activity was used? (*WRITE IN THE YEAR.*)

---

17. What was the most recent CDBG program year in which this activity was used? (*WRITE IN A NUMBER BETWEEN ONE AND SEVEN.*)

---

18. For the most recent year this activity was used, what was the total amount of funds committed (either obligated and/or expended) for this activity? (*WRITE IN 1,000's.*)

---

19. For the most recent year this activity was used, what percentage of total funds committed for this activity were generated from each of the following sources? (*PERCENTAGES MUST TOTAL TO 100%.*)

**Sources Of Funds**

1. CDBG program
  - a. Entitlement funds
  - b. Discretionary funds
2. Federal programs other than CDBG
3. State tax-exempt revenue bonds
4. State taxable securities
5. Other State funds
6. Local tax-exempt revenue bonds
7. Local taxable securities
8. Other local funds
9. Private funds
10. Other (*SPECIFY*)

---

20. How many units have been assigned by this activity during the period of January 1, 1975 to June 30, 1981? (*WRITE IN THE NUMBER OF UNITS.*)

**GAO NOTE:** Responses to questions 16 through 34 have been consolidated and analyzed by housing intervention. A summarization of this analysis appears on page 69 in the table entitled "Characteristics of Housing Activities funded under The Community Development Block Grant Program."

<u>Column 1</u>	<u>Column 2</u>	<u>Column 3</u>	<u>Column 4</u>	<u>Column 5</u>	<u>Column 6</u>	<u>Column 7</u>	<u>Column 8</u>	<u>Column 9</u>
Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____
Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____

16.	_____	_____	_____	_____	_____	_____	_____	_____
17.	_____	_____	_____	_____	_____	_____	_____	_____
18.	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
19.	1a. ___%	1a. ___%	1a. ___%	1a. ___%	1a. ___%	1a. ___%	1a. ___%	1a. ___%
	1b. ___%	1b. ___%	1b. ___%	1b. ___%	1b. ___%	1b. ___%	1b. ___%	1b. ___%
	2. ___%	2. ___%	2. ___%	2. ___%	2. ___%	2. ___%	2. ___%	2. ___%
	3. ___%	3. ___%	3. ___%	3. ___%	3. ___%	3. ___%	3. ___%	3. ___%
	4. ___%	4. ___%	4. ___%	4. ___%	4. ___%	4. ___%	4. ___%	4. ___%
	5. ___%	5. ___%	5. ___%	5. ___%	5. ___%	5. ___%	5. ___%	5. ___%
	6. ___%	6. ___%	6. ___%	6. ___%	6. ___%	6. ___%	6. ___%	6. ___%
	7. ___%	7. ___%	7. ___%	7. ___%	7. ___%	7. ___%	7. ___%	7. ___%
	8. ___%	8. ___%	8. ___%	8. ___%	8. ___%	8. ___%	8. ___%	8. ___%
	9. ___%	9. ___%	9. ___%	9. ___%	9. ___%	9. ___%	9. ___%	9. ___%
	10. ___%	10. ___%	10. ___%	10. ___%	10. ___%	10. ___%	10. ___%	10. ___%
20.	_____	_____	_____	_____	_____	_____	_____	_____

21. Did your city/county ever issue tax-exempt securities to help finance this activity? (IF NO, CHECK THE BOX TO THE RIGHT. IF YES, PLEASE ANSWER THE FOLLOWING QUESTIONS:)

- a. Amount issued to support this activity (WRITE IN 1,000's.)
- b. Month and year of last issuance (MONTH/YEAR.)
- c. Interest rate of last issuance
- d. Check if issued at: (CHECK ONLY ONE BOX.)
  - 1. Par
  - 2. Discount
  - 3. Premium

22. Considering all grants (full, partial, and/or conditional grants), what was the maximum, minimum and average grant made under this activity? (IF GRANTS WERE NOT USED, CHECK THE BOX TO THE RIGHT.)

- a. Maximum grant
- b. Minimum grant
- c. Average grant

23. Considering all loans (full, partial, forgivable, deferred, and/or equity participation loans), what was the maximum, minimum and average loan made under this activity? (IF LOANS WERE NOT USED CHECK THE BOX TO THE RIGHT.)

- a. Maximum loan
- b. Minimum loan
- c. Average loan

24. Considering all loan guarantees, what was the maximum, minimum and average loan guarantee made under this activity? (IF LOAN GUARANTEES WERE NOT USED CHECK THE BOX TO THE RIGHT.)

- a. Maximum loan guarantee
- b. Minimum loan guarantee
- c. Average loan guarantee

25. Considering all interest subsidy payments, what was the current, maximum, minimum and average interest rate under this activity? (IF INTEREST SUBSIDY PAYMENTS WERE NOT USED CHECK THE BOX TO THE RIGHT.)

- a. Current rate
- b. Maximum rate
- c. Minimum rate
- d. Average rate

26. Considering all rental assistance payments, what was the maximum, minimum and average monthly rental assistance payment made under this activity? (IF RENTAL ASSISTANCE PAYMENTS WERE NOT USED CHECK THE BOX TO THE RIGHT.)

- a. Maximum monthly rental assistance payment
- b. Minimum monthly rental assistance payment
- c. Average monthly rental assistance payment



<u>Column 1</u>	<u>Column 2</u>	<u>Column 3</u>	<u>Column 4</u>	<u>Column 5</u>	<u>Column 6</u>	<u>Column 7</u>	<u>Column 8</u>	<u>Column 9</u>
Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____
Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____

21.	<input type="checkbox"/> a. \$ _____ b. _____ c. _____ % d1. <input type="checkbox"/> d2. <input type="checkbox"/> d3. <input type="checkbox"/>	<input type="checkbox"/> a. \$ _____ b. _____ c. _____ % d1. <input type="checkbox"/> d2. <input type="checkbox"/> d3. <input type="checkbox"/>	<input type="checkbox"/> a. \$ _____ b. _____ c. _____ % d1. <input type="checkbox"/> d2. <input type="checkbox"/> d3. <input type="checkbox"/>	<input type="checkbox"/> a. \$ _____ b. _____ c. _____ % d1. <input type="checkbox"/> d2. <input type="checkbox"/> d3. <input type="checkbox"/>	<input type="checkbox"/> a. \$ _____ b. _____ c. _____ % d1. <input type="checkbox"/> d2. <input type="checkbox"/> d3. <input type="checkbox"/>	<input type="checkbox"/> a. \$ _____ b. _____ c. _____ % d1. <input type="checkbox"/> d2. <input type="checkbox"/> d3. <input type="checkbox"/>	<input type="checkbox"/> a. \$ _____ b. _____ c. _____ % d1. <input type="checkbox"/> d2. <input type="checkbox"/> d3. <input type="checkbox"/>	<input type="checkbox"/> a. \$ _____ b. _____ c. _____ % d1. <input type="checkbox"/> d2. <input type="checkbox"/> d3. <input type="checkbox"/>
22.	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____
23.	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____
24.	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____
25.	<input type="checkbox"/> a. _____ % b. _____ % c. _____ % d. _____ %	<input type="checkbox"/> a. _____ % b. _____ % c. _____ % d. _____ %	<input type="checkbox"/> a. _____ % b. _____ % c. _____ % d. _____ %	<input type="checkbox"/> a. _____ % b. _____ % c. _____ % d. _____ %	<input type="checkbox"/> a. _____ % b. _____ % c. _____ % d. _____ %	<input type="checkbox"/> a. _____ % b. _____ % c. _____ % d. _____ %	<input type="checkbox"/> a. _____ % b. _____ % c. _____ % d. _____ %	<input type="checkbox"/> a. _____ % b. _____ % c. _____ % d. _____ %
26.	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____	<input type="checkbox"/> a. \$ _____ b. \$ _____ c. \$ _____

27. Considering all **OTHER** finance methods you may have indicated in Question 15, what was the maximum, minimum, and average amounts financed under this activity? (IF OTHER FINANCE METHODS WERE NOT USED CHECK THE BOX TO THE RIGHT.)

- a. Maximum amount
- b. Minimum amount
- c. Average amount

28. For the most recent program year that this activity was used, how many **owner** housing units did this activity assist for elderly, small family, large family, and/or total households within each household income group? (FOR EACH HOUSEHOLD INCOME GROUP WITHIN EACH HOUSEHOLD TYPE, WRITE IN THE NUMBER OF OWNER HOUSING UNITS ASSISTED. IF THE NUMBER OF UNITS IS NOT AVAILABLE BY HOUSEHOLD INCOME GROUP PLEASE PROVIDE THE TOTAL OF ALL HOUSEHOLD INCOME GROUPS. REFER TO DEFINITIONS IN QUESTION 4.)

**A. For Elderly Households:**

- 1. Low income elderly households
- 2. Moderate income elderly households
- 3. Middle income elderly households
- 4. Upper income elderly households
- 5. Total elderly households in all income groups

**B. For Small Family Households:**

- 1. Low income small family households
- 2. Moderate income small family households
- 3. Middle income small family households
- 4. Upper income small family households
- 5. Total small family households in all income groups

**C. For Large Family Households:**

- 1. Low income large family households
- 2. Moderate income large family households
- 3. Middle income large family households
- 4. Upper income large family households
- 5. Total large family households in all income groups

**D. For All Household Types:**

- 1. Low income households
- 2. Moderate income households
- 3. Middle income households
- 4. Upper income households
- 5. Total all household income groups

<u>Column 1</u>	<u>Column 2</u>	<u>Column 3</u>	<u>Column 4</u>	<u>Column 5</u>	<u>Column 6</u>	<u>Column 7</u>	<u>Column 8</u>	<u>Column 9</u>
Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____
Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____

27.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	a. \$ _____ b. \$ _____ c. \$ _____	a. \$ _____ b. \$ _____ c. \$ _____	a. \$ _____ b. \$ _____ c. \$ _____	a. \$ _____ b. \$ _____ c. \$ _____	a. \$ _____ b. \$ _____ c. \$ _____	a. \$ _____ b. \$ _____ c. \$ _____	a. \$ _____ b. \$ _____ c. \$ _____	a. \$ _____ b. \$ _____ c. \$ _____
28.								
A.	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____
B.	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____
C.	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____
D.	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____	1. _____ 2. _____ 3. _____ 4. _____ 5. _____

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29. For the most recent program year that this activity was used, how many renter housing units did this activity assist for elderly, small family, large family, and/or total households within each household income group? (*FOR EACH HOUSEHOLD INCOME GROUP WITHIN EACH HOUSEHOLD TYPE. WRITE IN THE NUMBER OF RENTER HOUSING UNITS ASSISTED. IF THE NUMBER OF UNITS IS NOT AVAILABLE BY HOUSEHOLD INCOME GROUP PLEASE PROVIDE THE TOTAL OF ALL HOUSEHOLD INCOME GROUPS. REFER TO DEFINITIONS IN QUESTION 4.*)

**A. For Elderly Households:**

1. Low income elderly households
2. Moderate income elderly households
3. Middle income elderly households
4. Upper income elderly households
5. Total all elderly households in all income groups

---

**B. For Small Family Households:**

1. Low income small family households
2. Moderate income small family households
3. Middle income small family households
4. Upper income small family households
5. Total all small family households in all income groups

---

**C. For Large Family Households:**

1. Low income large family households
2. Moderate income large family households
3. Middle income large family households
4. Upper income large family households
5. Total all large family households in all income groups

---

**D. For All Household Types:**

1. Low income households
2. Moderate income households
3. Middle income households
4. Upper income households
5. Total all household income groups

---

30. For the last CDBG program year that this activity was used, how many white and non-white households received assistance under this activity? (*WRITE IN THE NUMBER OF WHITE HOUSEHOLDS AND NON-WHITE HOUSEHOLDS THAT RECEIVED ASSISTANCE.*)

1. Number of white households that received assistance
  2. Number of non-white households that received assistance
-

<u>Column 1</u>	<u>Column 2</u>	<u>Column 3</u>	<u>Column 4</u>	<u>Column 5</u>	<u>Column 6</u>	<u>Column 7</u>	<u>Column 8</u>	<u>Column 9</u>
<b>Housing Activity Code:</b>	<b>Housing Activity Code:</b>	<b>Housing Activity Code:</b>	<b>Housing Activity Code:</b>	<b>Housing Activity Code:</b>	<b>Housing Activity Code:</b>	<b>Housing Activity Code:</b>	<b>Housing Activity Code:</b>	<b>Housing Activity Code:</b>
_____	_____	_____	_____	_____	_____	_____	_____	_____
<b>Finance Method Code:</b>	<b>Finance Method Code:</b>	<b>Finance Method Code:</b>	<b>Finance Method Code:</b>	<b>Finance Method Code:</b>	<b>Finance Method Code:</b>	<b>Finance Method Code:</b>	<b>Finance Method Code:</b>	<b>Finance Method Code:</b>
_____	_____	_____	_____	_____	_____	_____	_____	_____

29.								
	<b>A.</b>							
	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____
	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____
	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____
	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____
<b>B.</b>	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____
	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____
	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____
	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____
	5. _____	5. _____	5. _____	5. _____	5. _____	5. _____	5. _____	5. _____
<b>C.</b>	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____
	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____
	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____
	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____
	5. _____	5. _____	5. _____	5. _____	5. _____	5. _____	5. _____	5. _____
<b>D.</b>	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____
	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____
	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____	3. _____
	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____	4. _____
	5. _____	5. _____	5. _____	5. _____	5. _____	5. _____	5. _____	5. _____
30.								
	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____	1. _____
	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____	2. _____

31. a. Is income used to determine eligibility to receive assistance under this activity? (IF NO, CHECK THE BOX TO THE RIGHT, THEN CONTINUE WITH QUESTION 32. IF YES, ANSWER THE FOLLOWING:)
- b. Is Section 8 income criteria used to determine eligibility? (IF YES, CHECK THE BOX TO THE RIGHT. IF NO, ANSWER QUESTION 31 PART C.)
- c. Please specify what income limits are used in the space to the right.

32. In determining eligibility for assistance under this activity, does your city/ county perform any of the following income-related tasks? (CHECK THE BOXES THAT APPLY TO THE RIGHT.)

**Income-Related Tasks**

1. Assessment of ability to pay
2. Income verification
3. Income valuation of assets
4. Equity in the home
5. Other (SPECIFY IN THE APPROPRIATE COLUMN TO THE RIGHT.)

33. Are any of the following factors used by your city/ county in determining eligibility to receive assistance under this activity? (IF NONE OF THE FACTORS BELOW ARE USED, SPECIFY THE FACTORS YOUR CITY/COUNTY USES IN RESPONSE CATEGORY 12 TO THE RIGHT. IF YES, CHECK ALL THAT APPLY.)

**Factors Used To Determine Eligibility**

**PROPERTY OR PROJECT:**

1. Must be located within a defined target area
2. Must have between one and four units
3. Must have between five and fifty units
4. Must have over fifty units
5. Must fail to meet local housing code or be in substandard condition
6. Must meet local housing code or be in a standard condition
7. Must have conditions which can be rehabilitated
8. Must not exceed a certain dollar value
9. Must justify additional investment
10. Must have an appropriate number of elderly/ handicapped units
11. Must have units suitable for large families
12. OTHER (SPECIFY IN THE APPROPRIATE COLUMN TO THE RIGHT.)

<u>Column 1</u>	<u>Column 2</u>	<u>Column 3</u>	<u>Column 4</u>	<u>Column 5</u>	<u>Column 6</u>	<u>Column 7</u>	<u>Column 8</u>	<u>Column 9</u>
Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____	Housing Activity Code: _____
Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____	Finance Method Code: _____

31.	a. <input type="checkbox"/> b. <input type="checkbox"/> c. _____	a. <input type="checkbox"/> b. <input type="checkbox"/> c. _____	a. <input type="checkbox"/> b. <input type="checkbox"/> c. _____	a. <input type="checkbox"/> b. <input type="checkbox"/> c. _____	a. <input type="checkbox"/> b. <input type="checkbox"/> c. _____	a. <input type="checkbox"/> b. <input type="checkbox"/> c. _____	a. <input type="checkbox"/> b. <input type="checkbox"/> c. _____	a. <input type="checkbox"/> b. <input type="checkbox"/> c. _____	
32.	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/>
33.	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/> 6. <input type="checkbox"/> 7. <input type="checkbox"/> 8. <input type="checkbox"/> 9. <input type="checkbox"/> 10. <input type="checkbox"/> 11. <input type="checkbox"/> 12. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/> 6. <input type="checkbox"/> 7. <input type="checkbox"/> 8. <input type="checkbox"/> 9. <input type="checkbox"/> 10. <input type="checkbox"/> 11. <input type="checkbox"/> 12. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/> 6. <input type="checkbox"/> 7. <input type="checkbox"/> 8. <input type="checkbox"/> 9. <input type="checkbox"/> 10. <input type="checkbox"/> 11. <input type="checkbox"/> 12. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/> 6. <input type="checkbox"/> 7. <input type="checkbox"/> 8. <input type="checkbox"/> 9. <input type="checkbox"/> 10. <input type="checkbox"/> 11. <input type="checkbox"/> 12. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/> 6. <input type="checkbox"/> 7. <input type="checkbox"/> 8. <input type="checkbox"/> 9. <input type="checkbox"/> 10. <input type="checkbox"/> 11. <input type="checkbox"/> 12. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/> 6. <input type="checkbox"/> 7. <input type="checkbox"/> 8. <input type="checkbox"/> 9. <input type="checkbox"/> 10. <input type="checkbox"/> 11. <input type="checkbox"/> 12. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/> 6. <input type="checkbox"/> 7. <input type="checkbox"/> 8. <input type="checkbox"/> 9. <input type="checkbox"/> 10. <input type="checkbox"/> 11. <input type="checkbox"/> 12. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/> 6. <input type="checkbox"/> 7. <input type="checkbox"/> 8. <input type="checkbox"/> 9. <input type="checkbox"/> 10. <input type="checkbox"/> 11. <input type="checkbox"/> 12. <input type="checkbox"/>	1. <input type="checkbox"/> 2. <input type="checkbox"/> 3. <input type="checkbox"/> 4. <input type="checkbox"/> 5. <input type="checkbox"/> 6. <input type="checkbox"/> 7. <input type="checkbox"/> 8. <input type="checkbox"/> 9. <input type="checkbox"/> 10. <input type="checkbox"/> 11. <input type="checkbox"/> 12. <input type="checkbox"/>

34. In addition to CDBG funds, which (if any) of the following Federal housing programs are used to help finance this activity?  
(PLEASE CHECK ALL THAT APPLY.)

**Federal Housing Programs**

1. Rehabilitation Loan Program (Section 312)
2. Urban Development Action Grant Program
3. Urban Homesteading Program
4. Lower Income Rental Assistance Program  
(Section 8 - Existing housing)
5. Lower Income Rental Assistance Program  
(Section 8 - Rehabilitation housing)
5. Lower Income Rental Assistance Program  
(Section 8 - Newly Constructed housing)
7. Mortgage Insurance for Single-Family Housing for Low-  
and Moderate-Income Families (Section 221(d)(2))
8. Mortgage Insurance for Multifamily Rental Housing for Low-  
and Moderate-Income Families (Section 221(d)(3) and (4))
9. Mortgage Insurance and Assistance Payments for Single-  
Family Homes for Low- and Moderate-Income Families  
(Section 235 and 235 Revised)
10. Mortgage Insurance and Assistance Payments for Multifamily  
Rental Housing for Low- and Moderate-Income Families (Section 236)
11. Low Income Public Housing Program (Construction)
12. Public Housing Modernization Program
13. Public Housing Operating Subsidy Program
14. Flexible Subsidy Program  
(Troubled public housing projects)
15. Direct Loan Program for Housing the Elderly and  
Handicapped (Section 202)
16. Home Improvement Loan Insurance Program  
(Title I)
17. Farmers Home Administration Homeownership  
Program (Section 502)
18. Farmers Home Administration Rural Rental  
Housing Program (Section 515)
19. Other SPECIFY IN THE APPROPRIATE COLUMN TO THE RIGHT.)



<u>Column 1</u>	<u>Column 2</u>	<u>Column 3</u>	<u>Column 4</u>	<u>Column 5</u>	<u>Column 6</u>	<u>Column 7</u>	<u>Column 8</u>	<u>Column 9</u>
Housing Activity Code:	Housing Activity Code:	Housing Activity Code:	Housing Activity Code:	Housing Activity Code:	Housing Activity Code:	Housing Activity Code:	Housing Activity Code:	Housing Activity Code:
Finance Method Code:	Finance Method Code:	Finance Method Code:	Finance Method Code:	Finance Method Code:	Finance Method Code:	Finance Method Code:	Finance Method Code:	Finance Method Code:
34.								
1. <input type="checkbox"/>	1. <input type="checkbox"/>	1. <input type="checkbox"/>	1. <input type="checkbox"/>	1. <input type="checkbox"/>	1. <input type="checkbox"/>	1. <input type="checkbox"/>	1. <input type="checkbox"/>	1. <input type="checkbox"/>
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19. <input type="checkbox"/>	19. <input type="checkbox"/>	19. <input type="checkbox"/>	19. <input type="checkbox"/>	19. <input type="checkbox"/>	19. <input type="checkbox"/>	19. <input type="checkbox"/>	19. <input type="checkbox"/>	19. <input type="checkbox"/>



CHARACTERISTICS OF HOUSING ACTIVITIES FUNDED UNDER THE CDBG PROGRAM

Type of housing activity	National sample (note a)		Characteristics of a sample of communities (note b)						
	Percent of sample communities having this activity	Most frequently reported finance method (note c)	Average allocations for activity per community (note d)	CDBG as a percent of total allocations	Average total number of units assisted per community (note e)	Average amount of assistance		Percent of assistance to	
			(thousands)			Per loan	Per grant	Low- and moderate-income households	Minority households
<u>Rehabilitation</u>									
Single-family, owner-occupied (1-4 units)	98	Full grants	\$440.5	88.6	309	\$ 9,904	\$ 6,205	97	40
Investor-owned, single and multifamily rental housing	50	Full loans	322.9	73.3	75	37,913	10,355	94	51
Local housing authority multifamily housing	23	Full grants	144.6	79.7	230	14,000	35,675	100	47
Conversion of units to facilitate access by the elderly or handicapped	10	Full grants	114.3	75.6	60	10,819	7,442	100	48
Emergency repairs	54	Full grants	248.5	95.7	171	6,069	1,782	99	34
<u>New construction</u>									
Local housing authority units	7	Partial grants	g/	g/	g/	g/	g/	g/	g/
Single-family housing for owner-occupants	10	Partial grants	g/	g/	g/	g/	g/	g/	g/
Investor-owned, multi-family units	6	Partial grants	g/	g/	g/	g/	g/	g/	g/
Nonprofit groups--multifamily	7	Partial grants	g/	g/	g/	g/	g/	g/	g/
<u>Construction-related</u>									
Site acquisition and clearance	46	Full grants	562.0	90.3	234	138,050	60,646	100	37
Demolition	33	Full grants	190.3	96.7	169	10,000	6,386	80	55
<u>Acquisition and ownership</u>									
Rehabilitation and resale of single family housing	24	Partial grants	302.6	86.0	17	17,000	12,175	54	68
Rehabilitation and resale of multifamily housing	8	Partial grants	g/	g/	g/	g/	g/	g/	g/
Purchase of multifamily housing for local housing authority	3	Full and partial grants	g/	g/	g/	g/	g/	g/	g/
Purchase and resale of single family unit to owner-occupants	10	Full loans	229.6	56.8	9	13,675	9,250	94	76
Conversion of rental units for tenant ownership	4	Partial loans	g/	g/	g/	g/	g/	g/	g/
<u>Energy conservation (note f)</u>									
Single family--weatherization	45	Full grants	234.8	85.7	191	9,846	3,714	94	16
Multifamily--weatherization	12	Full grants	196.7	87.1	60	8,412	2,126	100	93

a/Information is based on responses from 424 cities and counties that used CDBG funds for housing.  
 b/The sample characteristics are based on data reported by a sample of communities reporting the activity. The values shown only represent the amounts reported by the community and do not reflect totals for the housing activity.  
 c/Most frequent finance method checked in the overall matrix of housing activities. This does not take into account combinations of finance methods.

d/Average total funds allocated in the last program year based on a sample of the local officials reporting this activity. The average funds only represent those funds identified for the interventions sampled and do not reflect totals allocated for the activity.  
 e/Average number of units assisted per community over the entire CDBG program based on a sample of local officials reporting the activity.  
 f/Weatherization activities were, in some cases, part of rehabilitation programs. The data reported was not adjusted for any duplication.  
 g/Data received not sufficient to analyze.



COMPTROLLER GENERAL'S  
REPORT TO THE CONGRESS

BLOCK GRANTS FOR HOUSING:  
A STUDY OF LOCAL  
EXPERIENCES AND ATTITUDES

D I G E S T

The Congress and the administration are currently rethinking Federal housing policies and strategies. One trend seems to be away from federally administered production programs, such as the section 8 rehabilitation and new construction programs, and toward increasing local discretion through some form of block grant. Block grants provide funds, usually to local governments, for use in broad functional areas. Local governments are allowed considerable discretion in identifying problems, designing programs, and allocating resources. The only Federal block grant program which permits significant housing assistance is the Community Development Block Grant (CDBG) Program administered by the Department of Housing and Urban Development.

In this report GAO describes (1) the experiences of entitlement communities (cities with populations over 50,000 and urban counties with populations over 200,000) in providing housing assistance under the CDBG program, (2) the views of local CDBG housing officials on the desirability and form of a new housing block grant, and (3) the design issues for a housing block grant. The report provides an empirical data base for the Congress to use in evaluating future housing strategies, particularly those emphasizing block grants to increase local discretion in providing housing rehabilitation and new construction assistance. Questionnaire results of GAO's nationwide survey are detailed in a supplement to this report. (See pp. 1, 4, 6, and 30.)

LOCAL COMMUNITIES' EXPERIENCES UNDER THE  
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

To characterize CDBG housing activities, GAO sent questionnaires to 531 communities. GAO received and analyzed 424 responses. (See p. 7.)

Communities have generally emphasized homeowner-ship assistance, while reporting that renters are in greater need of assistance. The communities indicated that twice as many renters needed

Tear Sheet

i

GAO/RCED-83-21

GAO NOTE: Page numbers shown in this digest refer to pages in the issued report, not this supplement.

assistance than did homeowners and that 31 percent more rental units needed rehabilitation than did owner units. But, in meeting these needs, CDBG funds were used to assist 28 percent more owner units than renter units. (See pp. 13, 39, and 62.)

Communities have primarily funded rehabilitation activities. Of the respondents, 98 percent rehabilitated single-family, owner-occupied housing and about 60 percent rehabilitated multifamily rental housing. (See pp. 13, 39, and 61.) CDBG program regulations prohibit communities from financing new construction activities, unless such funding is provided for "last resort housing" or for certain activities permitted by nonprofit groups. Considering these limitations, a significant number of communities have financed construction activities. For example, about 10 percent funded construction for homeowners and about 7 percent funded multifamily rental housing construction. Also, 46 percent funded site acquisition and clearance activities in preparation for housing construction. Several recent housing initiatives have proposed adding new construction as an eligible CDBG activity or establishing a new block grant for rehabilitation and construction activities. (See pp. 17, 51, and 72.)

Communities have used a wide variety of housing finance methods. Most communities reported the use of straightforward grants and loans. More complex methods, such as interest subsidies and loan guarantees, which can have a greater short run impact in providing housing assistance were reported less frequently. Of the respondents, 81 percent used full grants, 53 percent used full loans, 35 percent used interest subsidies, and 17 percent used loan guarantees. (See pp. 16, 38, and 63.) Communities can use CDBG funds to attract other sources of funds for housing. The extent of this leveraging, however, was generally minimal. Communities reported that other funding sources, primarily private sources, provided about 11 percent of the funds used to rehabilitate owner-occupied housing and about 27 percent of the funds used to rehabilitate private rental housing. (See pp. 18, 45, and 69.)

Communities have apparently targeted housing assistance mainly to lower income families.

Communities that provided data reported that over 90 percent of the rehabilitation-related assistance benefited lower income groups. About 20 percent of the communities, however, did not provide enough detail on families receiving assistance to characterize the beneficiaries. (See pp. 21, 46, and 67.) Also, a substantial number of local officials indicated that certain other data regarding their communities' housing conditions and needs and the extent of CDBG assistance provided was unavailable. For example, 17 percent and 11 percent, respectively, stated that data was unavailable on the number of housing units and households needing assistance. Also, 14 percent and 18 percent, respectively, stated that data was unavailable on the number of owner and renter housing units assisted under the CDBG program. (See pp. 23, 36, and 73.)

LOCAL HOUSING OFFICIALS' VIEWS  
ON AN OVERALL HOUSING BLOCK GRANT

GAO sent a second questionnaire to 133 communities to obtain local CDBG officials' views on the housing block grant concept. GAO received and analyzed 100 responses. (See p. 7.)

The level of Federal funding for housing strongly influences these local officials' support for a housing block grant. Over 70 percent strongly favored block grants if Federal funds were to increase. If funds were to remain the same, support begins to erode. More than half did not want a block grant if housing funds were cut. (See pp. 26 and 76.) Generally, the officials supported the inclusion of most Federal housing functions in a housing block grant. Over 60 percent favored including the present rental assistance, rehabilitation, and new construction programs. (See pp. 27 and 83.)

Almost two-thirds of the officials emphasized program objectives which would provide assistance to low- and moderate-income households living in substandard housing. To achieve these objectives, the officials believed that assistance should be specifically targeted to low-income households and households in substandard housing. (See pp. 28, 79, and 87.)

Nearly all of the officials indicated that Federal funding should be allocated to local governments, using an entitlement formula. The most important

factors to be used in the formula are the extent of substandard housing, households needing assistance, and poverty in the community. (See pp. 29 and 80.) The officials also indicated that a housing block grant program should be planned, implemented, and evaluated mainly by local governments. The officials believed, however, that the Federal Government should have a fairly strong role in evaluating block grant performance based on specific criteria, but with limited sanctions for noncompliance. The officials also believed that there should be little involvement by State governments. (See pp. 29 and 93 to 103.)

#### DESIGN ISSUES FOR A HOUSING BLOCK GRANT

Block grants for housing would give local governments greater discretion and flexibility in designing and implementing housing programs. Many local housing officials do not want these increased responsibilities if Federal funding decreases. Most are willing to accept these responsibilities, however, if Federal funding increases. Although explicit targeting criteria would decrease local decisionmaking, 90 percent indicated that block grant assistance should be targeted to specific households based on both income and housing need.

Such targeting would depart from the method used under most current Federal housing programs, such as the section 8 rehabilitation and new construction programs. Eligibility has been based only on household income, which is generally used as a proxy for housing need. A majority of the officials indicated that low-income households living in substandard housing have the greatest need for assistance. Better targeting assistance to such households would enable communities to more effectively and efficiently use housing funds.

In general, most officials favored consolidating into a block grant Federal housing programs to provide rental assistance, rehabilitation, and new construction activities. Under the CDBG program, many communities have experience in rehabilitating housing for homeowners. Relatively few communities, however, have experience in rehabilitating and constructing rental housing.

Considering this limited local experience, a housing block grant program including rental



rehabilitation and construction activities might require significant Federal technical assistance early in the program. Also, more innovative financing methods designed to recapture and/or leverage limited Federal funds might require some emphasis under a new block grant. Finally, communities would need to collect and maintain data on housing conditions and needs, as well as cost and beneficiary data on the types of assistance provided, to effectively and efficiently administer and evaluate a local program under a housing block grant. Specific Federal guidance on the type and format of the information needed under a housing block grant program could help local governments in developing and evaluating housing programs that adequately address their housing needs. (See p. 33.)

#### AGENCY COMMENTS AND GAO'S RESPONSE

Department of Housing and Urban Development officials generally found this report to be (1) a very useful summary of communities' experiences in providing housing assistance under the CDBG program and (2) an objective discussion on local attitudes toward a housing block grant program.

They pointed out, however, that the report reflects the views of local CDBG officials and not those of elected officials or officials responsible for other housing programs, such as public housing. GAO agrees and made revisions, where appropriate, to reemphasize that the information contained in this report was primarily based on experiences and attitudes of officials in the CDBG program. They provide an indication, but not definite proof, of what might happen under a housing block grant.

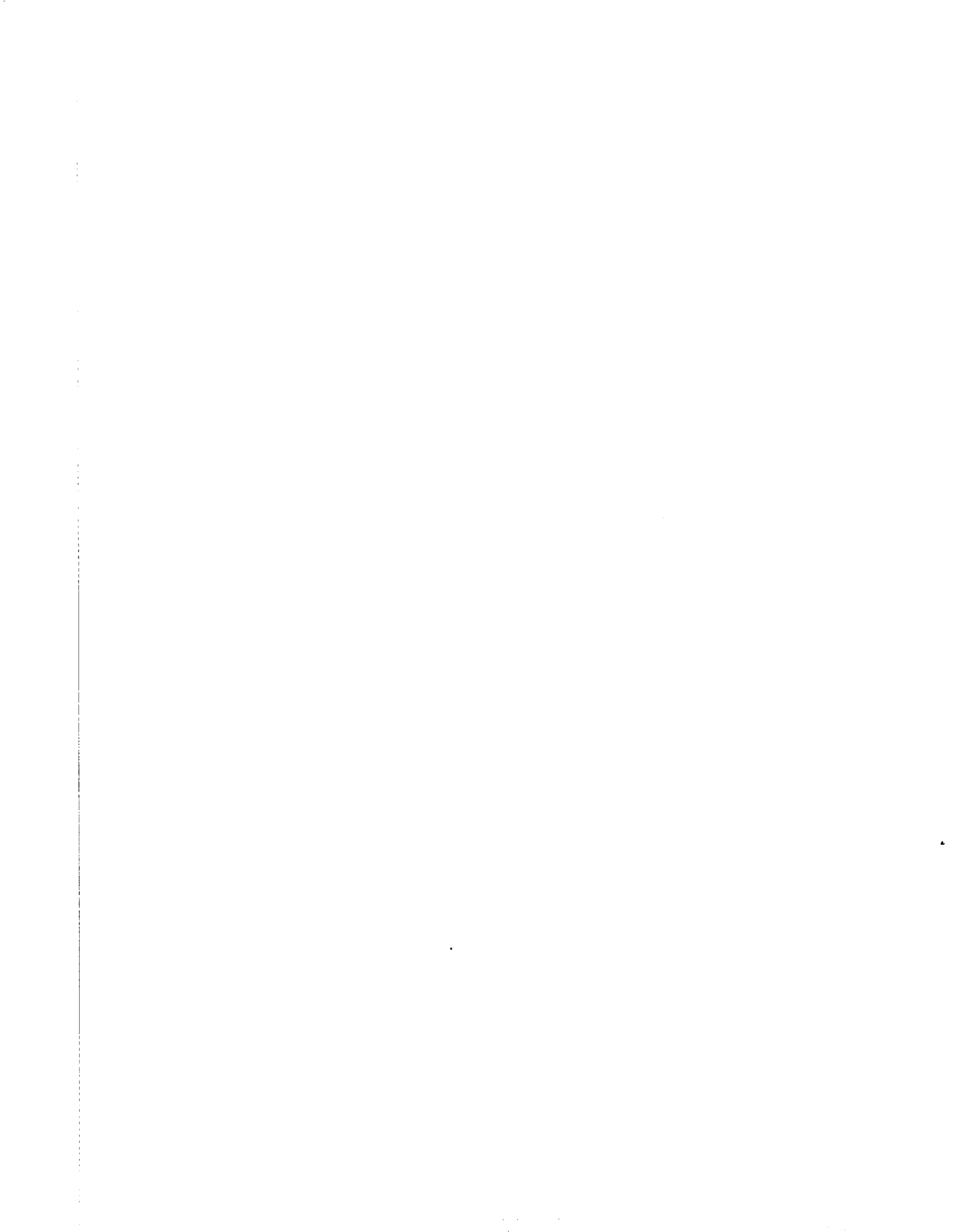
Department officials also pointed out that some housing activities, such as rental rehabilitation and new construction, would be substantially different from CDBG activities. Thus, the CDBG communities' limited experience in funding these activities does not provide a strong basis for projecting what would happen under a housing block grant program. Because of the limited experience of CDBG communities in providing rental assistance activities, GAO discusses several design issues, such as Federal technical assistance, innovative financing methods, and information needs, which might be required if these activities are included in a housing block grant. (See p. 34.)

Tear Sheet

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